EXECUTED LY believed in a

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

OMR:603:CAH:bs

CONTRACT NUMBER:	AMENDMENT:	CONTRACT NUMBER:	AMENDMENT:
Nonr-263(O1) Nonr-263(O2) Nonr-263(O4)	11 8 8	Nonr-263(05) Nonr-263(07)	6 1

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

FEBI 1955

Gentlemen:

To provide for a new fixed overhead percentage, in accordance with Section 24(a) (7) of Contract Nonr-263(00), to be applied to each of the above-numbered Task Order Contracts for the period set forth below, each of said Task Order Contracts is hereby amended by adding the following to the tabulation under the respective overhead provisions thereof:

ուՄրհրեն

1 October 1954

31 March 1955."

The foregoing makes no change in the estimated cost of any of the above-numbered Task Order Contracts.

conversion Schedule for the appropriation, "17X1319 Research and Development, Navy"

Now - 263(02)

New appropriation Symbol and Title "Research and Development, Navy"

Old Appropriations Symbol and Title		Vegericu aur Deaerobueur's Wea
Aircraft and Facilities, Navy	1731502.10 1741502.10	R & D Navy 17X1319.30
Ships and Facilities, Navy	1731601.10 1741601.10	
Ordnance and Facilities, Navy	1731702.10 1741702.10	.32 .42
Medical Care, Navy	1731002.10 1741002.10	.33 .1.3
Civil Engineering, Navy	1731201.10 1741201.10	
Service-Wide Supply and Finance, Navy	1731803.10 1741803.10	.35 .45
Military Personnel, General Expenses	1731413.10 1741413.10	
Marine Corps. Troops and Facilities	1731106.10 1741106.10	.37 .47
Research, Navy	17X1317.10 .20 .30 .40	.18 .28 .38 .48
Maintenance and Operation of Research Facilities	.11 .21 .31 .41	
Installation and Maintenance of Training Equipment	ng .32 .23 .33 .43	.l49 .l49 .l49 .l49
Patents	.36 .24 .34 .45	.l+9 .l+9 .l+9 .l+9
Departmental Administration	.15 .25 .35 .45	.49 .49 .49 .49

EXECUTED BY C'TH PARTIES

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

ONR:603:CAH:gc

CONTRACT NUMBER: Nonr-263(Ol) AMENDMENT:

CONTRACT NUMBER:

AMENDMENT:

Nonr-263(01) Nonr-263(02) 8

Nonr-263(04) Nonr-263(05) <u>դ</u> հ

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

DEC 15 1953

Gentlemen:

To provide for a new fixed overhead percentage, in accordance with Section $2l_1(a)(7)$ of Contract Nonr-263(00), to be applied to each of the above-numbered Task Order Contracts for the period set forth below, each of said Task Order Contracts is hereby amended by adding the following to the tabulation under the respective overhead provisions thereof:

"133%

1 October 1953

30 September 1954."

The foregoing makes no change in the estimated cost of any of the above-numbered Task Order Contracts.

EXECUTED BY BOTH PARTIES

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

ONR:603:CAH:bs

CONTRACT NUMBER: AMENDMENT: CONTRACT NUMBER: AMENDMENT:
Nonr-263(01) 5 Nonr-263(04) 3

The Trustees of Stevens Institute of Technology Hoboken, New Jersey

SFP 1 1953 -

Gentlemen:

To provide for a new fixed overhead percentage, in accordance with Section 24(a)(7) of Contract Nonr-263, to be applied to each of the above-numbered Task Order Contracts for the period set forth below, each of said Task Order Contracts is hereby amended by adding the following to the tabulation under the respective overhead provisions thereof:

*131%

1 October 1952

30 September 1953.*

The foregoing makes no change in the estimated cost of any of the above-numbered Task Order Contracts.

THE BY BOTH PARTIES

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER:

Nonr-263(02)

AMENDMENT NUMBER:

ONR:610:CPS:gc Nonr-263(02) (Mathematical Sciences Division)

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

31 JUL 1953

Gentlemen:

Due to a heavy work load on the part of the Contractor's personnel, the editing and printing of the final report of the research under Task Order Nonr-263(02) cannot be furnished within the time specified. In order that the research may be accomplished, it has been determined to extend the period of performance of said Task Order.

In consideration of the foregoing, said Task Order, as amended, is hereby further amended by deleting Section F in its entirety and substituting in lieu thereof the following:

"SECTION F - The performance of work under this Task Order shall commence on 1 March 1951, and shall be completed on 31 October 1953."

This amendment makes no change in the estimated cost of Task Order Nonr-263(02).

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER:

Nonr-263(02)

AMENDMENT NUMBER:

3ER: 4

NR 341-009/9-23-52

APPROPRIATION:

(See last paragraph hereof)

DECREASE:

AUTHORITY:

\$15.004.00

ONR:262:THT:bs Nonr-263(02)

(Mathematical Sciences

Division)

30 APR 1959

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

It is the desire of the Government and the Contractor that the instrumentation phase of the research and investigation of new approaches to the theory and design of automatic controls of improved performances be deleted from Task Order Nonr-263(02) due to the difficulty in employing a subcontractor and that the contract be continued with a more concentrated study on the mathematical phase as set forth in Section A as now amended. To accomplish this, there are hereby provided a decrease in the estimated cost and an increase in the period of performance of said Task Order.

In consideration of the foregoing, said Task Order, as amended, is hereby further amended as follows:

1. At the top of page 1, delete the Estimated Cost in its entirety and substitute in lieu thereof the following:

"ESTIMATED COST: \$24.496.00."

- 2. On and after the date of this amendment, paragraph (3) of Section A shall not apply.
- 3. Delete Section C in its entirety and substitute in lieu thereof the following:

"SECTION C - The estimated cost of the performance of this Task Order is twenty four thousand four hundred and ninety six dollars (\$24,496.00)."

4. Delete Section F in its entirety and substitute in lieu thereof the following:

"SECTION F - The performance of work under this Task Order shall commence on 1 March 1951, and shall be completed on 31 July 1953."

This amendment decreases the total estimated cost of Task Order Nonr-263(02) by \$15,004.00, which decrease is credited to Appropriation 17X1317.10 Research Navy (Expenditure Account 46110) (Object Classification 079) Program Number 32000.

CONTRACT NUMBER: Nonr-263(02)
AMENDMENT NUMBER: 4

If the foregoing is acceptable to you, please indicate your acceptance thereof by executing the enclosed two (2) copies of this letter, and return them to the Office of Naval Research, whereupon this letter and your acceptance thereof will constitute this an amendment to the above numbered contract.

Sincerely yours,

Contracting Officer Office of Naval Research Department of the Navy

		Department of the Navy
	THE TRUSTEES OF THE STEVENS	WITNESSES:
ACCEPTED	(Contractor)	(1)
		(2)
Ву		NOTE: In the case of a corporation
TITIE	MONANCO SANO MICHAEL SEGUE CONTROL CON	witnesses are not required but certificate below must be completed.

CERTIFICATE

secretary of the corporation named as Contractor in the foregoing amendment; that , who signed said amendment on behalf of the Contractor was then of said corporation; that said amendment was duly signed for and in behalf of said corporation by authority of its governing body and is within the scope of its corporate powers.

(Signature of person certifying)

(CORPORATE SEAL)

EXECUTED BY BOTH PARTIES

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER: Nonr-263(02)
AMENDMENT NUMBER: 3

ONR:262:THT:rch
Nonr-263(02)
(Mathematical Sciences
Division FEB 1952

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

To provide the Contractor sufficient time within which to prepare and submit the final report and fulfill all other necessary requirements of the research under Task Order Nonr-263(02), it has been determined to extend the period of performance of said Task Order.

In consideration of the foregoing, said Task Order, as amended, is hereby further amended by deleting Section F in its entirety and substituting in lieu thereof the following:

"SECTION F - The performance of work under this Task Order shall commence on 1 March 1951, and shall be completed on 3C April 1952."

This amendment makes no change in the estimated cost of Task Order Nonr-263(C2).

CONTRACT NO.

TITLE

Nonr-263(02)

THE TRUSTEES OF THE STEVENS

INSTITUTE OF TECHNOLOGY

[Confrector]

AMENDMENT NO. 3

If the foregoing is acceptable to you, please indicate your acceptance thereof by executing the enclosed two (2) copies of this letter, and return them to the Office of Navel Research, whereupon this letter and your acceptance thereof will constitute this an amendment to the above numbered Task Order.

Sincerely yours,

Contracting Officer
Office of Naval Research
Department of the Navy
WITNESSES:

(1)

(2)

NOTE: in the case of a corporation
witnesses are not required but

certificate below must be completed.

CERTIFICATE

\$.		, certify that I am
the	Secretary of the	corporation named as Contractor in the foregoing amend-
ment: that		, who signed said amendment on behalf of the Con-
tractor was then		of said corporation: that said amondment
was duly signed for and of its corporate powers.	l in behalf of said corporation	by authority of its governing body and is within the scope
		(Signature of person certifying)

(CORPORATE SEAL)

EXECUTED BY BUTH PARTIES

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER: Nonr-263(O2)
AMENDMENT NUMBER: 2

ONR: 253: BAT: bs Nenr-263(O2) (Mathematical Sciences Division)

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

CAS WA CHINAS

To establish the overhead rate applicable under Task Order Nonr-263(02) for the period from 1 October 1951 to 30 September 1952, in accordance with the previsions of Section 24(a)(7) of the contract, said Task Order, as amended, is hereby further amended by adding the following under the tabulation in Section D thereof:

"133%

1 October 1951

30 September 1952,"

This amendment makes no change in the estimated cost of Task Order Nonr-263(02).

NAVEXOS 2185-17-2 (Rov. 3-51)

CONTRACT NO.

Nonr-263(02)

AMENDMENT NO. 2

If the foregoing is acceptable to you, please indicate your acceptance thereof by executing the enclosed two (2) copies of this letter, and return them to the Office of Naval Research, whereupon this letter and your acceptance thereof will constitute this an amendment to the above numbered. Task Order.

Sincerely yours,

Contracting Officer
Office of Naval Research
Department of the Navy

ACCEPTED INSTITUTE OF TECHNOLOGY

(Contractor)

(2)

NOTE: in the case of a corporation witnesses are not required but certificate below must be completed.

CERTIFICATE

the ment; that tractor was then was duly signed for and in behalf of of its corporate powers.	certify that I an Secretary of the corporation named as Contractor in the foregoing amend , who signed said amendment on behalf of the Con of said corporation; that said amendmen said corporation by authority of its governing body and is within the scope
	(Signature of person certifying)

(CORPORATE SEAL)

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D.C.

CONTRACT NUMBER: Nonr-263(02)
AMENIMENT NUMBER: 1

ONR:268:WRM:njl Nonr-263(O2) (Mathematical Sciences Division)

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

To establish the "use" charge applicable under Task Order Nonr-263(02) for the period 1 February 1951 to 30 January 1952, in accordance with the provisions of Section 21(a)(9) of Contract Nonr-263(00), said Task Order Nonr-263(02) is hereby amended by adding the following new Section:

"SECTION G - In accordance with the provisions of subsection 2h(a)(9) of the contract, a use charge for the period or periods specified below is hereby established for 'Maddida' special purpose computer equipment for work under this Task Order:

Amount Per Hour Machine Usage Period for Which Applied From To

\$7.50

1 February 1951 30 January 1952."

This amendment makes no change in the estimated cost of Task Order Nonr-263(02).

James Had Copy

"Carrelled",

NAVEXOS 1185-17-2 (Rov. 3-5)

09

CONTRACT NO. Nonr-263(02)

AMENDMENT NO. 1

If the foregoing is acceptable to you, please indicate your acceptance thereof by executing the enclosed two (2) copies of this letter, and return them to the Office of Naval Research, whereupout this letter and your acceptance thereof will constitute this an amendment to the above numbered. Task Order.

Sincerely yours.

Contracting Officer
Office of Neval Research
Department of the Navy

THE THUSTEES OF THE STEVENS

ACCEPTED INSTITUTE OF TECHNOLOGY

(Contractor)

(2)

NOTE: in the case of a corporation witnesses are not required but cartificate below must be completed.

CERTIFICATE

100					Acres .	that I am
the	Secretary of the	corporation na	med as Contra	ctor in the		
ment; that	, , , , , , , , , , , , , , , , , , , ,		gned said amo			
tractor was then			of said corp	oration: the	it said an	nendmont
was duly signed for and in beha	If of said corporation	by authority of	its governing	body and	is within t	the scope
of its corporate powers.						

(Signature of person certifying)

(CORPORATE SEAL)

Revised 20 May 1948

Determination and Findings

Method of Contracting

D&F No. 3971

Upon the basis of the following findings and determinations which I hereby make as a Contracting Officer pursuant to the provisions of Section 7 of the Armed Services Procurement Act of 1947 and authority delegated thereunder, the proposed contract may be entored into on a cost basis, pursuant to the authority of Section 4(b) of said Act, for research and investigation of new approaches to the theory and design of automatic controls of improved performances, with

THE TRUSTEES OF THE STEVENS INSTITUTE OF TECHNOLOGY

FINDINGS

- 1. The proposed contract has an estimated cost of \$ 39,500.00, without any fixed fee.
- 2. Negotiation of the proposed contract is authorized under Section 2(c)1 of the Armed Services Procurement Act of 1947.
- 3. The exact nature and extent of the work covered by the proposed contract, and the precise method of performing that work, cannot be established in advance, but must be freely subject to improvisation and change as the work progresses.
- 4. The costs of performing the work under the proposed contract cannot be accurately forecast so as to permit the undertaking of such work for a fixed price.

DETERMINATIONS

- 1. It is impracticable to secure services of the kind or quality required without the use of the proposed type of contract.
- 2. The estimated cost of the proposed contract is \$ 39,500.00

J. B Scatchard

Lieut. Comdr., SC, USN

Contracting Officer Office of Naval Research Department of the Navy



FXECUTED BY

DEPARTMENT OF THE NAVY OFFICE OF MAVAL RESEARCH CONTRACT NUMBER: Honr-263(02)

RESEARCH AND DEVELOPMENT TASK ORDER AR 1951

CONTRACTOR:

The Trustees of the Stevens Institute of Technology

AUTHORITY

NR 341-009/1-19-51 (Mathematical Sciences Division)

APPROPRIATION:

17K1317,10 Research Eavy (Expenditure Account 46110) (Object Classification 079) Program Number 32000

ESTIMATED COST:

\$39,500,00

This Task Order is established under, and constitutes a part of, Contract Bonr-263(00) which sets forth the basic contract provisions applicable hereto. In case of any conflict between the provisions of said Contract Nonr-263(00) and the provisions hereof, the latter shall control.

SECTION A - The Contractor shall use his best efforts to furnish the necessary personnel and facilities for and, in accordance with any instructions issued by the Scientific Officer or his authorized representative, shall conduct research and investigation of new approaches to the theory and design of automatic controls of improved performances. Such research and investigation shall include, but not necessarily be limited to:

- (1) development of the theory of saturated controls obeying non-linear control equations which optimize performance;
 - (2) / Terative predictive schemes in control problems; and
- (3) determination of the feasibility of the above approaches to the inprovement of automatic control performance.

SECTION B - The Scientific Officer under this Task Order is the Head, Mathematics Branch, Mathematical Sciences Division, Office of Maval Research.

SECTION C - The estimated cost of the performance of this Task Order is thirty nine thousand five hundred dellars (\$39,500.00).

SECTION D - The percentage to be applied with respect to this Task Order in accordence with the provisions of Section 24(a)(7) of the contract shall be a fixed percentage, in the amount or amounts, and for the period or periods, specified belows

Period for which Applied

1 March 1951 30 September 1951.

CONTRACT NUMBER: Nonr-263(02)

SECTION E - The Contractor shall submit final reports upon completion of work hereunder, making full disclosure of all research conducted, and shall submit such other reports as are required by the Scientific Officer.

SECTION F - The performance of work under this Tesk Order shall commence on 1 March 1951, and shall be completed on 29 February 1952. CONTRACT NUMBER: Mear-263(02)

This negotiated Task Order is entered into pursuant to the provisions of Section 2(c)1 of the Armed Services Procurement Act of 1947 (Public Law 413, 80th Congress), and any required determination and findings with respect thereto has been made.

IN WITNESS WHEREOF the parties hereto have executed this Task Order as of the day and year first above written.

	UNITED STATES OF AMERICA
	Contracting Officer Office of Naval Research Department of the Navy
WITNESSES:	
	Contractor
(2)	Bv
NOTE: In the case of a corporation witnesses are not required but certificate below must be completed.	TITLE
	(Business address of Contractor)
CE	RTIFICATE
Order: that Contractor was then	, certify that I am f the corporation named as Contractor in the foregoing Task , who signed said Task Order on behalf of the of said corporation; that said Task rporation by authority of its governing body and is within the
	(Signature of person certifying)

(CORPORATE SEAL)

THIRD ENDORSEMENT on ANCLINC Newark, N.J. B/O SCI, EA ltr N13(RE) Stevens dtd 17 December 1954

From: Chief of Naval Research

To: OinC, U. S. Navy Regional Accounts Office, Third Naval District, Brookly New York

Subj: Contract Nonr-263(02), Stevens Institute of Technology; forwarding of fin voucher in the amount of \$1,180.12 and related terminal documents

- 1. Forwarded to be placed in line for payment, same having been duly certified by the Technical Office, Mr. S. Ferraris, Office of Naval Research Branch Office, New York, New York.
- 2. Final technical reporting requirements with respect to the research work performed have been properly fulfilled to the satisfaction of the cognizant Scientific Officer.
- 3. Proper accounting has been made of all Government-owned property furnished for use under subject contract.
- 4. Subject Contractor has satisfactorily complied with patent provisions of the contract; therefore, insofar as patent license provisions are concerned, payment of final settlement may be made.
- 5. Contractor's Release of the Government, conditioned upon the payment of the sum of \$24,496.00 , the sum of \$23,315.88 having been heretofore paid and the sum of \$1180.12 to be paid (represented by Final Voucher), has been reviewed as to form and approved by the Contracting Officer.
- 6. Proper technical certification of the Final Audit Report for subject contract was made on 8 March 1955 by Dr. Arthur Grad, cognizant Scientific Officer, Office of Naval Research, Washington, D. C.
- 7. Contractor has complied with Royalties Clause of subject contract, reporting that no royalties have been or are due to be paid during the performance of the contract.
- 8. After payment of subject voucher, all of the funds appropriated for subject contract will have been paid leaving no unexpended funds under the contract.
- 9. Original of Enclosure (6) has been returned to Supervisory Cost Inspector, Eastern Area, as requested in Second Endorsement. Enclosures (9) and (10) have been retained for the files of this office.

JTE S 2116 (Consideration of the		DATE RECEIVED 2/25/55	LOGGED BY	No. 157231
ISO	MAYY COST	INSPECTO	DR, U.S. N.	REF./SERIAL NO. EASTERN AREA		2/25/55
act	Nolosures Nonr 263 ——SECON	S(O2) w/S	Stevens Inson ANCIInC	titute of Tec	hnology, Hobo B/O SCI, EA 1 Basic & Ends	ken, N.J., Final Audit tr N13(HE)Stevens of w/encls 341.00
PUR- POSE	RECEIVED DATE INITIALS	RELEASED DATE INITIALS		· ·	COMMENTS (Initial and date)	
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DET	5. SIGNATU	4. IRE ON PREPAR	COMMENT REQUES ACTION. ED REPLY. ng document	SUBJECT		REPLY SERIAL NO. 6679 25 MAR 1955

To(EH) Stevens Inst. of Tech. 23 Feb. 1955

SECOND ENDORSEMENT on ANCIInC Newark, N.J. B/O SCI, EA ltr N13(RE)Stevens of 17 December 1954

From: Supervisory Cost Inspector, USN, Eastern Area

To: Office of Naval Hesearch Washington 25, D. C.

Subj: Contract Nonr 263(02) w/Stevens Institute of Technology, Hoboken, N. J., Final Audit Report on

- 1. Enclosures (1) through (6) of the basic letter and enclosures (9) and (10) of the first endorsement are forwarded for appropriate action. The Supervisory Cost Inspector has determined the amount of \$24,496.00 to be the allowable cost of performing the subject Task Order.
- 2. Supplementing the information contained in paragraph 2 of the basic letter, overhead was reimbursed at negotiated fixed rates as specified in the contract.
- 3. Other than the deduction of \$12.98 for costs in excess of the contract maximum, no formal or informal disallowances were taken by the Cost Inspector.
- 4. The Head, Mathematics Branch (Code 432), Office of Naval Research is requested to sign the certificate of the Technical Inspector in enclosure (6) and return the original thereof for the records of this Activity.

J. R. ALLEN

Copy to:
Newark B/O SCI, EA
ONR, NY
SIS(NCT) w/copies of encls of
basic ltr and endorsements)
HAO, NY w/basic ltr

U.S.N.

L4-3/3P/ES:es Nonr-263(02) Ser 6720 OCT 14 1954

Sec. Ata Info

ENDOWSEMENT on SCI. EA, Port Newark, NJ 1tr N13(LO) Stevens of 31 Aug 1954 w/encls

Commanding Officer, Office of Naval Research, New York From: To:

Cost Inspection Service (Code NCT), Office of the Comptroller

of the Navy, Washington 25, D. C.

(1) Supervisory Cost Inspector, USN, EA Via:

(2) Chief of Naval Research (Code 650)

Contract Nonr-263(02), Stevens Institute of Technology; Final. Audit Report and related terminal documents; forwarding of

(9) Certificate of Compliance with Contract Patent Provisions, Enel: dtd 16 Feb 1954 (orig & 4 cys)

(10) Final Property Inventory dtd 9 Dec 1953 (1 cy)

- 1. Forwarded for appropriate action.
- 2. Enclosure (1) has been certified by the cognizant technical representative of this office. The Technical Officer's certificate, enclosure (6), is hereby referred to the Head, Mathematics Branch (Code 432) of the Office of Naval Research for accomplishment.
- 3. The contractor's patent certificate, enclosure (9), indicating that no inventions were conceived or developed under subject contract, has been approved by the Heads of the Patent and Scientific Departments of this office.
- 4. This office confirms the contractor's final inventory statement, enclosure (10), that no property was furnished to or acquired by the contractor under subject contract. Consequently, there remains no disposable residual inventory.
- The contractor was furnished one classified document for use in connection with subject contract. This document was returned to the Office of Naval Research, New York on 7 October 1954. No other classified documents were furnished through this office.
- 6. The final report under subject contract was forwarded direct to the Chief of Naval Research (Code 432) on 30 November 1953.
- 7. Enclosure (8) has been retained for the files of this office.

Copy to: SCI, Port Newark CNR (432) CNR (640) w/orig & 4 eys encl (10) A. SLEDGE

BRANCH OFFICE, SUPERVISORY COST INSPECTOR, USN, MASTERN AREA NAVAL INDUSTRIAL RESERVE SHIPYARD BLDG. 13 PORT NETARK NEWARK 5, N. J.

N13(RE)Stevens 17 December 1954

Acting Navy Cost Inspector-in-Charge, Branch Office, SCI, EA, Newark, N. J. From:

To: Director, Office of Naval Research

Navy Department

Washington 25, D. C.

Via: Supervisory Cost Inspector, USN, Eastern Area

Final Audit Report on Contract NOnr-263(02) with Stevens Institute of Subja Technology, Hoboken, N. J.

(1) Final Public Voucher #25, \$1,180.12 (Orig. & 9 copies) Encl:

(2) Cumulative Claim and Reconciliation (Orig. & 7 copies)

(3) Affidavit of Waiver of Lien (Orig. & 1 copy)

(4) Contractor's Release of Claims (Orig. & 4 copies) (5) Assignment of Credits and Refunds (Orig. & 4 copies)

(6) Certificate of Cost Inspector, Supervisory Cost Inspector, and Technical Inspector (Orig. & 4 copies)

(7) Copies of enclosures (1) thru (6) for SCI, EA

(8) Copies of enclosures (1) thru (6) for ONR, New York

- 1. Contract NOnr-263(02) is a cost-type contract, dated 1 March 1951 and was completed 30 November 1953. Contract required engineering research and development services as specified therein.
- 2. Allowable costs were determined in accordance with the compensation terms of the contract and Part 3, Section XV, of the Armed Services Procurement Regulations. Audit was made to the extent deemed necessary in the circumstances. No fee was provided for in the contract.
- 3. Summary of Contract Performance

Summary of Approved Costs

Salaries and Wages - Engineers Salaries - Staff Consultant

\$7,939.50

Materials and Services

Travel Expense

Engineering Overhead:

Salary Base	Overhead Rate	Overhead
\$ 440.16 4,495.25	142% 133%	\$ 625.03 5,978.68
2,203.11 800.98	131% 133%	2,886.06

\$10,555.07

Total Costs

Less: Excess of Contract Maximum

Total Approved Costs

Period Inception to 9/30/51 10/1/51 to 9/30/52 10/1/52 to 9/30/53 10/1/53 to 11/30/53

> \$24,508.98 12.98 24,496.00

10,555.07

\$ 7,939.50

135.00

377.35

5,502.06

Subj: Final Audit Report on Contract NOnr-263(02) with Stevens Institute of Technology, Hoboken, N. J.

4. Reimbursed by Navy

Vouchers Nos. 1 to 24 Final Public Voucher No. 25 \$23,315.88 1,180.12 \$24,496.00

Total Estimated Cost as amended

\$24,496.00

- 5. The Contractor's costs are subject to project audit by the General Accounting Office and all required documentary evidence in support of direct charges approved for payment is available at the Branch Office of the Supervisory Cost Inspector, Eastern Area, Newark, N. J. To date no audit has been made of the total cost. In this connection, the U. S. Regional Accounts Office has been advised that in the opinion of the Navy Cost Inspector, none of the approved costs are likely to become the subject of an uncleared General Accounting Office Notice of Exception.
- 6. It is understood from the Office of Naval Research, New York, that there is no terminal inventory or government-furnished property or property acquired for the account of the government.
- 7. The cognizant Technical Inspector is requested to execute the certificate on enclosure (6).
- 8. It is understood from the Office of Naval Research, New York, that the Contractor has complied with the provisions of the contract relating to patents.
- 9. There are no known potential credits and refunds. In this connection, the contractor has executed an Assignment of Credits and Refunds (enclosure (5)). In addition the contractor has furnished a Release of Claims which is forwarded as enclosure (4) hereof.

ANTHONY P. PEDUTO

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J. R. ALLEN, LCDR SC USHR
Supervisory Cost Inspector,
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THE TRUSTEES OF THE STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

EXPERIMENTAL TONING TANK

Date 9 March 1954

Test No.

Total Claimed Adjustments Amount Claimed

To Department of the Navy Office of Naval Research Office of the Comptroller of Navy Gost Inspection Service Newark 5, New Jersey

Items Disallowed

Your Order No.

Contract No. NOnr-236(O2)

Cumulative Claim and Reconciliation

Total Claimed from Inception of work under this contract.			
Costs			
Katerial	5502.06	4165-4430	5502,06
Saleries	7939.50	₩	7939.50
Salaries - Staff Consultants	135.00	***************************************	155.00
Travel	377.35	50 AA	877.88
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I Certify that the above figures have been taken from the records of The Trustees of the Stevens Institute of Technology and are correct to the best of my knowledge and belief.

The Trustees of The Stevens Institute of Technology

Nichol H. Memory, Treasurer

Date: 10 March 1954

COSTRACTOR'S RULEASE UNDER CONTRACT Nonr-263(02)

KNOW ALL MEN BY THESE PRESERTS: In consideration of the premises and the sum of Twenty Four Thousand Four Hundred Minety Six and CO/100 Bollars (\$21196.00) lawful money of the United States of America (hereinefter called the "Covernment"), of which Twenty Three Thousand Three Bundred Fifteen and 88/100 Bollars (\$23315.88) has already been paid and Eleven Hundred Fighty and 12/100 Bollars (\$1180.12) of which is to be paid by the Government under the above mentioned contract in final settlement of all amounts due under said contract, the undersigned contractor does, and by the receipt of said sum shall, for itself, its successors and assigns, remise, release, and forever discharge the Government, its officers, agents and employees, of and from all liabilities, obligations and claims whatsoever in law and in equity under or amining out of aforementioned said contract.

IN SIZESS MEREOF. This release has been duly executed this 15th day of February 1954.

The Trustees of the Stevens Institute of Technology (Contractor)

S	THE NEWS TO BE AND THE STREET AND THE STREET OF THE STREET
	Nichol H. Memory
Title	$\underline{Treessity}$

CERTIFICATE

I, Waldo Shawway, certify that I am the Secretary of the Corporation named as contractor in the foregoing release, that Michol M. Memory who signed said release on behalf of the contractor was then Treasurer of said corporation; that said release was duly signed for and in behalf of said corporation by authority of its governing body and is within the scope of its corporate powers.

Kaldo	Starway		

CONFRMATE SEAL

ASSIGNMENT OF CREDITS AND REFUNDS

INVESTIGATION OF THE PARTY OF T

That the Trustees of the Stevens Institute of Technology, (hereinafter called the contractor), a Corporation organized and existing under the laws of the State of New Jersey and having its principal place of business at Hoboken, New Jersey, in consideration of the reinbursement for all costs incurred and paid for under the terms of Contract Nonr-263(O2) does hereby:

- (1) Assign, transfer, set over and release to the United States of America (hereinafter called the Government), all right, title and interest to all refunds, relates, fredits or other secunts now due or which may become due under the said contract together with all rights of action accrued or which may hereinafter accrue there-under.
- (2) Agree to take whatever action may be necessary to effect prompt collection of all refunds, rebates, credits or other amounts due or which may become due, and to promptly forward, or cause to be forwarded directly to the Navy Department, Bureau of Supplies and Accounts, certified checks (made payable to the Treasurer of the United States) for any proceeds so collected.
- (3) Agree to cooperate fully with the Government in pursuance of any claim or suit in connection with credits or relunds due; to execute any protests, pleadings, applications, claims, powers of attorney or other papers in connection therewith; and to permit the Government or the Government's attorneys to represent it at any hearing, trial or other proceeding arising out of such claim or suit.

IN WITNESS WHEREOF, said Corporation has caused these presents to be signed in its name, by its Treasurer, this 15th day of February 1954.

The Trustees of the Stevens Institute of Technology (Contractor)

Michel	400 40	Hanory,	Treasurer
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CERTIFICATE OF NAVY COST INSPECTOR

The undersigned hereby certify that the contractor's books records and original evidences of cost pertaining to contract NOnr-263(02) have been audited in accordance with the instructions of the Comptroller of the Navy and that as a result of such audit it has been determined that the amount of \$24,496.00 represents the proper allowable cost of performing said contract in accordance with the terms thereof. No fee was payable under the terms of the contract.

DEC 17 1954

Anthony P. Peduto, Acting Navy Cost Inspectorin-Charge, Branch Office, SCI - Eastern Area Newark, New Jersey

CERTIFICATE OF SUPERVISORY COST INSPECTOR

The undersigned, acting for and in behalf of the Office of the Comptroller of the Navy (Cost Inspection Service), which has been designated to determine the cost of performing contract NOnr-263(02), hereby certifies that the amount of \$24,496.00 has been determined to be the proper allowable cost of performing said contract in accordance with the terms thereof. No fee was payable under the terms of the contract.

FEB 23 1955

Date

J. R. ALLEN, LCDR, SC, USNR Supervisory Cost Inspector, Eastern Area

CERTIFICATE OF TECHNICAL INSPECTOR OFFICE OF NAVAL RESEARCH

The undersigned hereby certifies that the research and development services performed by Stevens Institute of Technology at a reported cost of \$24,496.00 fully comply with all technical requirements applicable to contract NOnr-263(02) including all applicable regulations and instructions of the Office of Naval Research and that said contract has been fully and satisfactorily completed.

3/8/55

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EXPERIMENTAL TOWING TANKEIVED STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN. NEW JERSETS AN 34 711 HUDSON STREET KENNETH S. M. DAVIDSON, DIRECTOR HUGH W. MACDONALD, DEPUTY DIRECTOR ASSISTANT DIRECTORS ASSISTANT DIRECTORS GEORGE R. MORRIS JOHN B. DRISKO ALLAN B. MURRAY W. C. HUGLI, JR. 16 February 1954 Department of the Navy Office of Naval Research Washington 25, D. C. VIA: Office of Naval Research 346 Broadway New York 13, New York ATTENTION: Mr. Elias Soren Contract No. Nonr 26302 SUBJECT: Gentlemen: In accordance with the terms of the subject contract please be notified that no patent applications have been or will be made and no inventions have been developed or reduced to practice during and as a result of performance thereunder, and that no royalties or royalty rates have been paid and to the best of our knowledge and belief none is to be Very truly yours. STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK George R. Morris Approved:

GRM: ms

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Roderick B. Johes

Department

Approved:

Head. Scientific Department

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EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

PEBLY BANGEN ST

16 February 1954

Department of the Navy Office of Naval Research Washington 25, D. C.

VIA: Office of Naval Research

346 Broadway

New York 13, New York

ATTENTION: Mr. Elias Soren

SUBJECT:

Contract No. Nonr 26302

Gentlemen:

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Very truly yours,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

GRM: ms

Voderil B. Vous

George R. Morris

Approved:

Roderick B. Jones

Head, Patents Department

Approved:

Saul Berman

Head, Scientific Department

EXPERIMENTAL TOWING TANK

Stevens Institute of Technology 711 Hudson Street Hoboken, New Jersey

Telephone HOboken 3-8080

Kenneth S. M. Davidson, Director Hugh W. MacDonald, Deputy Director

Assistant Directors John B. Drisko W. C. Hugli, Jr. Assistant Directors George R. Morris Allan B. Murray

16 February 1954

Department of the Navy Office of Naval R search Washington 25, D. C.

VIA: Office of Naval Research 346 Broadway New York 13, New York

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SUBJECT: Contract No. Nonr 26302

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Very truly yours,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

/s/ George R. Morris

GRW:ms

-George R. Morris

Approved:

Roderick B. Jores

Head, Patents Department

Approved:

/Saul Berman

Head, Scientific Department

EXPERIMENTAL TOWING TANK

Stevens Institute of Technology 711 Hudson Street Hoboken, New Jersey

Telephone HOboken 3-8080

Kenneth S. M. Davidson, Director Hugh W. MacDonald, Deputy Director

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Assistant Directors George R. Morris Allan B. Murray

16 February 1954

Department of the Navy Office of Naval R search Washington 25, D. C.

VIA: Office of Naval Research 346 Broadway New York 13, New York

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Very truly yours,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

/s/ George R. Morris

GRM :ms George R. Morris Approved: Roderick B. Jones Head, Patents Department Approved:

Saul Berman

Head, Scientific Department

EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

9 December 1953

Office of Naval Research Department of the Navy 346 Broadway New York 13, New York

ATTENTION: Mr. S. Ferraris

SUBJECT: Contract No. Nonr 26302

Gentlemen:

Please be advised that no capital property was developed or otherwise acquired during the performance of the subject contract and no other materials of any nature were purchased and charged to the contract.

Very truly yours,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

George R. Morris

GRM: ms

3/3P/Nonr-263(02)/St ins Inst. of Tech./SF:mb fice Memorandum • UNITED STATES GOVERNMENT

: Officer in Charge U. S. NRAO, U.S. Naval Supply Activities 3rd Ave. & 29th St., Bklyn

DATE: 16 December 1954

Head, Contract Admin. Dept. Office of Naval Research, 346 Broadway, New York

IKE SO DY

Contract Nonr-263 (02) with Stevens Inst. of Technology

(a) MRAO ltr FD-110:BV dtd 10 Dec 1954

ne final public voucher was forwarded to NRAO via Code 650 ONR, Washington, /14/54. By copy this memorandum Code 650 is requested to expedite ssion of the final voucher to the NRAO.

/ to: Code 650, OMR Wash.

S. FERRARIS

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STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR HUGH W. MACDONALD, DEPUTY DIRECTOR

ASSISTANT DIRECTORS JOHN B. DRISKO W. C. HUGLI, JR.

ASSISTANT DIRECTORS
GEORGE R. MORRIS
ALLAN B. MURRAY

31 August 1953

Office of Naval Research Washington 25, D. C.

ATTENTION: Code 655

SUBJECT:

Contract No. Nonr 26302, Amendment No. 5

Gentlemen:

We enclose herewith (2) executed copies of the subject instrument which extends the period of performance through 31 October 1953.

It is requested that we be provided with (2) conformed copies of the above amendment for our records.

Very truly yours,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

George R. Morris

GRM:ms Encl.

cc: SCI-Newark ONR-New York

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

NUMBER: Nonr-263(02)

! NUMBER: 5

ONR:610:CPS:gc Nonr-263(02) (Mathematical Sciences Division)

ees of the Institute of Technology New Jersey

1:

heavy work load on the part of the Contractor's personnel, the editing and of the final report of the research under Task Order Nonr-263(02) cannot be within the time specified. In order that the research may be accomplished, sen determined to extend the period of performance of said Task Order.

leration of the foregoing, said Task Order, as amended, is hereby further by deleting Section F in its entirety and substituting in lieu thereof the 5:

TION F - The performance of work under this Task Order shall commence on 1951, and shall be completed on 31 October 1953."

adment makes no change in the estimated cost of Task Order Nonr-263(02).

8 24

(Negotiator)

C. P. Schaff

74 1

Contractor: Stevens Institute of Technology, Hoboken, New Jers Contract No.: Nonr-26302) Task Order No.: **	DATE 5 August 195 FROM CODE
Amendment No. : 5	610_ G
The following information should be forwarded to the A	dministering Office:
(1) Clearance Memorandum Not required	· · · · · · · · · · · · · · · · · · ·
(2) Other (Indicate)	17
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(Report of Obligation) No Cast	Eftension
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7-Compliance

9-Retain Copy

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

TRACT NUMBER:

Nonr-263(02)

INDMENT NUMBER:

Trustees of The vens Institute of Technology oken, New Jersey

NR 341-009/7-28-53

ONR: 610: CPS. Nonr-263(02) (Mathematical Sciences,)

Division (B)

tlemen:

HORITY:

to circumstances of a heavy work load on the part of the Contractor's personnel, editing and printing of the final report of the research of Contract Nonr-263(02) not be furnished within the time specified. In order that the research may be omplished, it has been determined to extend the period of performance of said k Order.

consideration of the foregoing, said Task Order, as amended, is hereby further nded by deleting Section F in its entirety and substituting in lieu thereof the lowing:

"SECTION F - The performance of work under this Task Order shall commence 1 March 1951, and shall be completed on 31 October 1953."

s amendment makes no change in the estimated cost of Task Order Nonr-263(02).

OFFICE OF NAVAL RESEARCH, NEW YORK 346 BROADWAY

NEW YORK 13, NEW YORK

ADDRESS REPLY TO DIRECTOR AND REFER TO:

Li-3/1N/SF:cm Nonr-263(02) Ser 5492

14 July 1953

From: Director, Office of Naval Research, New York

To: Chief of Naval Research (Code 650)

Subj: Contract Nonr-263, Task Order 2, Stevens Institute of Technology, Hoboken, New Jersey

Encl: (1) Orig & 3 copies Stevens Inst. ltr /s/ G. R. Morris dated 10 July 1953 to ONR Wash, via ONR NY

- 1. The contractor's request for a no-cost extension of subject contract from 31 July 1953 to 30 September 1953, in order to complete the final report, is forwarded herewith as Enclosure (1), with recommendation for favorable action.
- 2. The following cost data is furnished for your information:

a.	Expended/Obligated as of 30 June 1953	\$21,785.70
b.	Anticipated Expenditures during July	1,299.00
C.	Anticipated Expenditures during August	699.00
d.	Total Expended/Obligated by 31 August	\$23,783.70
e.	Anticipated Balance 31 August	&77 o. 3∩

The contractor has advised that it is unable to estimate the amount required during the month of September to complete the report, except to state that it is certain that the maximum amount of the contract, \$24,496 will

not be exceeded. A small surplus of approximately \$200 may be expected.

BOB O. MATHERS

EXPERIMENTAL TOWING TANK
STEVENS INSTITUTE OF TECHNOLOGY
711 HUDSON STREET HOBOKEN. NEW JERSEY
TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR HUGH W. MACDONALD, DEPUTY DIRECTOR

ASSISTANT DIRECTORS
JOHN B. DRISKO
W. C. HUGLI, JR.

ASSISTANT DIRECTORS
GEORGE R. MORRIS
ALLAN B. MURRAY

10 July 1953

Office of Naval Research Department of the Navy Washington 25, D. C.

Via: Office of Naval Research 346 Broadway New York 13, New York

Subject: Contract Nonr-263(02)

Gentlemen:

The period of performance of the subject contract expires on 31 July 1953. While writing of the report is almost completed, it now appears that editing and printing may take some additional time due to the heavy work load. Request is therefore made for a two month no cost extension through 30 September 1953.

Evaluation of the report when submitted may indicate the desirability of further extension of the program initiated under this contract.

Yours very truly,

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

George R. Morris

GRM: MR

27 JUL 1953

Erel (1)

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30 aprel 1952

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val Research Laboratory, Anacostia, Washington 20, 6 copies C., Attn: Mr. T. M. Hemphill

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witland, Maryland

EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, ASSISTANT DIRECTOR HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

12 December 1952

Office of Naval Research Department of the Navy Washington 25, D. C.

ATTENTION:

Code 265

SUBJECT:

Contract Nonr-26302

Amendment No. 4

Gentlemen:

We enclose herewith (2) executed copies of the subject amendment which extends the life of the contract through 31 July 1953 and reduces the maximum price to \$24,496.00. Please provide us with (2) additional conformed copies for our files.

Very truly yours.

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

George R. Morris

GFM:ms Encl.

cc: Supervisory Cost Inspector
Port Newark - Attn: Mr. Peduto

ONR - New York Attn: Mr. Ferraras

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

RACT NUMBER:

Nonr-263(02)

DMENT NUMBER:

4

NR 341-009/9-23-52

OPRIATION:

ORITY:

101 341-009/9-23-72

EASE:

(See last paragraph hereof)

\$15,004.00

ONR:262:THT:bs Nonr-263(02)

(Mathematical Sciences

Division)

Rounder

Trustees of the ens Institute of Technology ken, New Jersey

lemen:

s the desire of the Government and the Contractor that the instrumentation e of the research and investigation of new approaches to the theory and design utomatic controls of improved performances be deleted from Task Order Nonr-263(02) to the difficulty in employing a subcontractor and that the contract be inued with a more concentrated study on the mathematical phase as set forth in ion A as now amended. To accomplish this, there are hereby provided a decrease he estimated cost and an increase in the period of performance of said Task Order.

onsideration of the foregoing, said Task Order, as amended, is hereby further ded as follows:

1. At the top of page 1, delete the Estimated Cost in its entirety and titute in lieu thereof the following:

"ESTIMATED COST: \$24,496.00."

- 2. On and after the date of this amendment, paragraph (3) of Section A shall apply.
- 3. Delete Section C in its entirety and substitute in lieu thereof the owing:

"SECTION C - The estimated cost of the performance of this Task Order is ty four thousand four hundred and ninety six dollars (\$24,496.00)."

4. Delete Section F in its entirety and substitute in lieu thereof the owing:

"SECTION F - The performance of work under this Task Order shall commence March 1951, and shall be completed on 31 July 1953."

amendment decreases the total estimated cost of Task Order Nonr-263(02) by 204.00, which decrease is credited to Appropriation 17X1317.10 Research Navy Enditure Account 46110) (Object Classification 079) Program Number 32000.

RACT NUMBER: Nonr-263(02) DMENT NUMBER: 4	
he foregoing is acceptable to you, please uting the enclosed two (2) copies of this aval Research, whereupon this letter and an amendment to the above numbered contra	letter, and return them to the Office your acceptance thereof will constitute
	Sincerely yours,
THE TRUSTEES OF THE STEVENS PTED INSTITUTE OF TECHNOLOGY (Contractor)	Contracting Officer Office of Naval Research Department of the Navy WITNESSES: (1)
• .	(2) NOTE: In the case of a corporation
	witnesses are not required but certificate below must be completed.
•	

CERTIFICATE

secretary of the corporation named as Contractor in the going amendment; that , who signed said iment on behalf of the Contractor was then aid corporation; that said amendment was duly signed for and in behalf of said pration by authority of its governing body and is within the scope of its prate powers.

(Signature of person certifying)

(CORPORATE SEAL)

Mail Comment She XOS 2116-C (3-51) Route Sheet No.

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OFFICE OF NAVAL RESEARCH, NEW YORK 346 BROADWAY

NEW YORK 13, NEW YORK

ADDRESS REPLY TO DIRECTOR AND REFER TO:

L4-3/1N/SF:eb Nonr-263(02) Ser8361

17 November 1952

From: Director, Office of Naval Research, New York

To: Chief of Naval Research (Code 262)

Subj: Contract Nonr-263(02), Stevens Institute of Technology, Hoboken, N. J.

Encl: (1) Stevens Inst ltr dtd 13 Nov 1952 to ONR Wash via ONR NY

1. The enclosure is forwarded for your information and action.

S. FERRARIS

By direction

EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, Assistant Director HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

13 November 1952

Department of the Navy Office of Naval Research Washington 25, D. C.

VIA: Office of Naval Research 346 Broadway New York 13, New York

SUBJECT: Contract Nonr 26302

REFERENCE: (a) Stevens letter of 13 June 1952

(b) ONR letter of 1 October 1952 ONR:436:s1 NR 341 009 Ser 24766

Gentlemen:

By reference (a) request was made for an extension of the subject contract through 30 April 1953. Reference (b) indicated that the request under reference (a) had been referred to the contract division for action. Inquiry is made as to the present status of the request for extension of the subject contract.

Very truly yours.

STEVENS INSTITUTE OF TECHNOLOGY EXPERIMENTAL TOWING TANK

George R. Morris

GRM:ms

T. H. Thornton

nformation below applies only to th ntractorThe Trustees of the S	tevens Institute of Technology Amount NONE
ntract No. Nonr-263(00) T.	0. Nonr-263(02) Amend. #4 (No-cost) d De Crease \$15,009
r: (check one)	Type of Contract (check one)
7 Research (Reports)	(1) Fixed Price (2) Fixed Price, including price
7 Development of training devi	ces redetermination (2a) Fixed Price, with downward
Scientific Equipment	revision only (3) Fixed Price, including price
_/ Cther(State)	escalation (4) Fixed Price, incentive
be dated not later than:	(5) Cost (6) Cost Plus Fixed Fee (7) Time and Material
currently 30 april 1952 if applicable, state reason below)	(8) Other (specify) No-Cost
llar volume being subcontracted to s	YES of a company or person to solicit
Have /_/	Have not
Planned Producer Planned Item Contracting Officer's Statement Business Clearance (Navexos-2760 Method of Contracting D & F (cos- Is this contract susceptible to S Contract with Small Business Government Furnished Material Renegotiation applicable to these Naval Working Fund Classified - Subject to Vinson-Trammel Act Subject to Walsh-Healey Act Facilities Clearance	Small Business?
chority under A. S. P. R. Not req	uired on no-cost extension
authority other than A. S. P. R.,	state: (Negotiator) T. H. Thornton

CLEARANCE MEMORANDUM

NR 341-009/23 September 1952 Jontract Number: Nonr-263(02) Amendment Number: 4 Unclassified 15 October 1952

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

l. Negotiations

By letter dated 13 June 1952, Mr. George R. Morris, Financial Officer for the Stevens Institute of Technology, requested that a no-cost extension be negotiated for Task Order Nonr-263(02) and that the instrumentation aspect of this research be eliminated from said Task Order. It has been stated that the Contractor has had difficulty in reaching an agreement with the Arkania Regulator Company in regards to the subcontracting of this phase of the project and that it has been determined by ONR and the Stevens Institute of Technology that this part of the project be deleted.

Office of Naval Research New York, in their letter of 14 July 1952, indicated that a surplus of \$28,785.00 was available at the end of the termination date of 1 May 1952. This surplus was due to the delay in work on the project and due to the difficulties in the subcontracting with the Arkania Regulator Company. The Scientific Officer has requested that \$15,004.00 be deleted from the said Task Order, which was originally established for the purpose of conducting the instrumentation study. It has been stated that a surplus of \$9,400.00 will be available after the deletion of the aforementioned study, and the Contractor is desirous of having a one year no-cost extension in order to continue the research from a mathematical The Contractor proposed a one year extension from standpoint. 1 May 1952 to 30 April 1953. It is to be noted, however, that the amendment contains an extension until 31 July 1953, in order to allow for the conduct of work to continue throughout the academic year of 1952 and 1953.

T. H. Thornton

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

'RACT NUMBER:

Nonr-263(02)

DMENT NUMBER:

NR 341-009/9-23-52

ONR:262:THT: Nonr-263(02)

[ORITY: :OPRIATION:

(See last paragraph hereof)

(Mathematical Sciences Division)

EASE:

\$15,004.00

Trustees of the rens Institute of Technology ken, New Jersey

:lemen:

s the desire of the Government and the Contractor that the rumentation phase of the research and investigation of new oaches to the theory and design of automatic controls of oved performances be deleted from Task Order Nonr-263(02) to the difficulty in employing a sub-contractor and that the ract be continued with a more concentrated study on the mentical phase as set forth in Section A as now amended. To emplish this there are hereby provided a decrease in the estimated ; and an increase in the period of performance of said Task Order.

consideration of the foregoing, said Task Order, as amended, is by further amended as follows:

At the top of page 1, delete the Estimated Cost in its rety and substitute in lieu thereof the following:

"ESTIMATED COST: \$24,496.00.

- 2. On and after the date of this amendment, paragraph (3) of ion A shall not apply.
- Delete Section C in its entirety and substitute in lieu reof the following:

"SECTION C - The estimated cost of the performance of this : Order is twenty four thousand four hundred and ninety six dollars 1,496,00),

Delete Section F in its entirety and substitute in lieu reof the following:

"SECTION F = The performance of work under this Task Order Il commence on 1 March 1951, and shall be completed on 31 July 1953."

-2770 (9-48)

RESEARCH GROUP OPERATIONAL REQUIREMENT AD AGAIN

Set Mubila Prigingh and four copies;	SECURITY CLASSIFICATION TYPE PROJECT (OTHER)
ESIGNOS NO. CONTRACT NO.	TASK OHDER DATE 1951
NTIFIC OFFICER '	Do not write in this space
F. Joachim Weyl	F.J. NG. PROGRAM NO.
<i>τe)</i>	
ens Institute of Technology ken, New Jersey	APPROPRIATION'S)
eun S. M. Davidson	OBJECT CLASS EXPENDITURE ACCOUNT NO.
y of Automatically Programmed rol Systems	ESTIMATED COMPLETION DATE TOTAL PROJECT
	PROF. STUD. 2 1 March 1953
I ANY GOVERNMENT AGENCY DO RK IN THE TIME SPECIFIED? YES NO	FISCAL ESTIMATES THIS COMMITMENT (EST.)
REPORTS REQUIRED	PAST 1947 \$ BASIC \$
(Quarterly, monthly, etc.)	
ITUS	PAST 1989 5 APPLIED 39 50 50
ENTIFIC AS JUSTIFIED	FUTURE 1950 \$ FUNDS
ERS: AS UESTED OR-	FUTURE 1951 \$ OTHER \$
AL	FRONT March 1951 to 31 December 1951
THIS CONTRACTOR SELECTED?	
ability and maneuverability on es have suggested the research	Tank, Stevens Institute of Technology of studies concerning the influence control system design. These to be conducted under this task for therefore particularly qualified.
TED AGENCIES CORRESPONDENCE NOT ATTACHED, ETC.:	
BuShips	1/2 1/2 1/9
WADG, Johnsville	2.22.
The transfer of the second of	
	(3)
	SCIENCE DIRECTOR, RESEARCH
(Branch) Mathematics	ASST. CHIEF FOR RESEARCH
TOR (PEDISSONNA LINEMES LICAL Sciences	(5) DEPUTY AND ASSISTANT CHIEF OF NAVAL RESEARCH

41 009

e: (1) Scientific Justification (2) Brief of Project (3) Possible Naval Application

The investigations to be carried out under this task are concerned automatic control systems for the execution of major maneuvers. distinguishes them clearly from systems designed for the purpose of se-keeping where it is merely a matter of correcting for the comtively minor random disturbances caused by an inhomogeneous or noisy conment. The performance of the type of control system to be intigated must be evaluated from such viewpoints as the execution of a red maneuver in least time or with least effort, etc. This is in rast to course-keeping type of controls whose aim is generally the mization of mean square errors. The proposed viewpoint appears to new one in the field of control system development and certainly ants careful investigation. The Stevens Institute of Technology is supported in this study by engineers from the Askania Regulatompany and by the Mathematics Department at Princeton University lying respectively practical advice and the assurance of theoretical iness.

Two particular types of automatically programmed control systems are e studied as to their feasibility. The first one is centered on an ogue computing device which from known instantaneous conditions and ssumed future program predicts rapidly the remainder of the maneuver. ne prediction differs from the desired outcome a systematic ne control program is made and the computation repeated with the ction initial conditions. In this fashion those parts of the control ram lying in the immediate future will be defined with greater and ter precision, and will be executed as real time catches up with . The second type of system envisages the preliminary computation ptimum control sequences for a sufficiently large family of repre-The relation which is thus established between the ed maneuvers. red maneuver and required control command sequence is then to be led from the viewpoint of realizing it in a compact analogue device e function might be described as that of looking in a table of pose command sequences for the one corresponding to the maneuver to be Since in particular the requirement of maneuvers in minimum of will generally require that the controls are used to saturation of current study will work essentially with black-white controls.

The possible naval applications are found in any situation where r maneuvers have to be executed with such accuracy in timing as to out the use of human operators or under conditions where no human ators are on hand. Example of this can be found in the maneuver igh speed aircraft from tally-ho to the point of firing a guided ile at a target; in the maneuver of a guided missile from the ant of release to the instant when homing devices take over the ance control; in the rapid execution of evasive maneuvers by high d aircraft and in depth changes on the part of high speed submarines.

Operational Requirement: AD 09401.

INTER-DEPARTMENT COMMUNICATION

EXPERIMENTAL TOWING TANK

TO:

Dr. Di Prima

DATE:

5 August 1952

CC:

G. R. Morris B. H. Peters SUBJECT: Project EA 1403

Estimate for 12 Month Period 1 August 1952 to 1 August 1953

FROM:

H. W. MacDonald

REFERENCE:

NONR 263 T.O. 2

Balance 31 July 1952

Publication Bushaw Report

Salaries - Rose

Salaries - Supervision

Computing

Publication - Rose report

Say \$ 9400.00

900.00

2240.00

2580.00

9320.00

= \$ 24,404.88

Onlied an hail

*Based on 1-day/week for 10 months and 5-days/week for 2 months.

Balance in T.O. 2 on 1 August

Estimated requirements T.O. 2 for 12 months.

\$ 24,404.88

9,400,00

Balance

\$ 15,004.88

HWM:ms

Reclimond

Now Star Star

OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY

NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO:

L4-3/1N/SF:cm Nonr-26302 Serial 5687

14 July 1952

From: Director, Office of Naval Research, New York

To: Chief of Naval Research (Code 432)

Via: Code 430

Subj: Contract Nonr-26302, Stevens Institute of Technology, NR 341-009; Branch Office Individual Contract Fiscal Status Report

- Encl: (1) Copy of Stevens Institute of Technology ltr of 25 June 1952 to ONR NY with 2 copies enclosure thereto
- 1. Enclosure (1) is forwarded herewith for information and as a supplement to the Stevens Institute of Technology recent request for a no cost extension of subject task order to 30 April 1953.
- 2. Basic background information in connection with subject contract is as follows:
 - a. The present expiration date is 30 April 1952.
- b. The contract began on 1 March 1951 and a total of \$39,500 has been allocated to date.
- c. The contract allocated \$39,500 for the twelve month period beginning 1 March 1951 and ending 29 February 1952. Amendment No. 3 dated 29 February 1952 extended the contract performance period to 30 April 1952 without increase in funds.
- 3. Current Fiscal Information:
 - a. Current period began 1 March 1951 and ended 30 April 1952.

b. Expenditures for		Lod:	(1)
(1) Type	(2) Budget	(3) Expended/Obligated thru 30 Apr 1952	(4) Balance as of 1 May 1952
despite and state all the state of the state	Daage	011 a 70 Ebr 1/75	- 1184 J - 17) C
Wages and Salaries	10,080	3ب443	6,637
Overhead	12,620	4.460	8.160
Material/Supplies	1,000	2,560	(1,560)
Travel	800	252	548
Subcontract with Arkania			
Regulator Co.	15,000	60v4	15,000
	39,500	10,715	28,785

9.3 JUL 1952

L4-3/1N/SF:cm Nonr-26302 Seria5687

14 July 1952

- c. Current period has been completed.
- d. Surplus at end of current period is \$28,785.
- e. The reasons for the surplus at the end of the current period are that the subcontract with Arkania Regulator Co. was never executed and the Institute did not expend the anticipated man hours of labor during this period. The latter has been due to the fact that the Office of Naval Research, Washington and Stevens Institute of Technology have not been in agreement concerning direction of effort on this problem. It has recently been decided that the work is to proceed as a mathematical study without any attempt at instrumentation at this time. The contractor's budget for the period beginning 1 May 1952 and ending 30 April 1953 indicates how it intends to use this surplus.

4. Discussion of Proposal:

Dr. R. R. Williamson was the responsible investigator under the task order. He submitted his resignation from the staff of Stevens Institute of Technology effective I July 1952. The Institute has informally advised that some of his work will be taken over by Assistant Dean N. J. Rose. It is the understanding of this office that the professional accomplishments and backgound of Dean Rose is familiar to the Scientific personnel at Office of Naval Research, Washington. For cost purposes, the Institute proposes to treat Dean Rose as a consultant and would bill the contract at the rate of \$28 per day. In addition, the Institute would continue to utilize the services of Donald W. Bushow as a Mathematician, at the rate of \$23 per day. The Stevens Institute of Technology has advised that the budget is at the moment considered a very flexible one and subject to many changes. A meeting is being arranged between Office of Naval Research, Washington and contractor personnel to discuss the budget, change of personnel and other matters. The contractor requested that it be allowed to withhold further comment on the details of construction of the budget until after the scheduled meeting with Office of Naval Research, Washington representatives.

Dobo. matheus

BOB O. MATHEWS

23 JUL 1952

EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

25 June 1952

Office of Naval Research Department of the Navy 346 Broadway New York 13, New York

Attention: Mr. S. Ferraris

Subject: Contract Nonr-263(02)

Reference: ONR letter 20 June 1952

Lu-3/ln/SF: je Serial 5110

Gentlemen:

We enclose herewith revised budget covering performance under the subject contract for the period 1 May 1952 through 30 April 1953, as requested by our copy of the reference letter.

Yours very bruly,

EXPERIMENTAL TOWING TANK

George R. Morris Financial Officer

GRM:MR Enclosure

Enel (1)

RECEIVED

Experimental Towing Tank Stevens Institute of Technology Hoboken, New Jersey

ESTIMATED BUDGET 1 MAY 1952 - 30 APRIL 1953

Contract Nonr-26302

	Hows	Rate*	Salary
Engineers and Scientists	2313	3,22	7447.86
Chief Technical Assistants	710	2.10	1491.00
Miscellaneous Laboratory Assistants	775	1,28	992.00
			9930.86
Overhead at 133% through 30 September 1952 and estimated at that rate thereafter Consultation (\$450. month)			13208.04 5400.00
Travel, miscellaneous materials,	etc.		250,00 28788,90

^{*}Averaged rates for purposes of this tabulation only.

Approximate average monthly rate of expenditures:

Salary	827.57	
Overhead	1100.67	
Consultation	450.00	
Materials, Travel	20.83	
	2399.07	

(Balance in Contract 30 April 1952 \$28784.54)

45308

268

OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY

NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO:

L4-3/1N/SF:jc Nonr-263-2 Ser 5110

20 June 1952

From: Director

To: Chief of Naval Research (Code 268)

Subj: Contract Nonr-26302, Stevens Institute of Technology, Hoboken, NJ

Encl: (1) Orig & 2 cys SIT ltr /s/ Morris dtd 13 June 1952 to CNR

- 1. Enclosure (1) is forwarded for appropriate action.
- 2. The contractor has advised this office that during a recent conference between Stevens Institute personnel and Dr. C. R. De Prima, Code 432, Office of Naval Research, Washington, it was decided to continue the work as a mathematical study. The subcontract with the Askania Regulator Company, which was approved by ONR, Washington, was not executed.
- 3. It is recommended that the contractor's request for no cost extension to 30 April 1953 be approved and amendment issued at the earliest practicable date. The present expiration date is 30 April 1952.
- 4. By copy of this letter, Stevens Institute of Technology is requested to furnish this office a revised budget covering the period 1 May 1952 to 30 April 1953.

S. FERRARIS
By direction

Copy to
Mr. George R. Morris, SIT

1- JUL 1952

EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, ASSISTANT DIRECTOR HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

13 June 1952

Department of the Navy Office of Naval Research Washington 25, D. C.

VIA:

Department of the Navy Office of Naval Research 346 Broadway

New York 13, New York

SUBJECT: Contract Nonr-263(02)

Gentlemen:

Reference is made to the recent decision changing the direction of the research under the subject contract. As the work is now to proceed as a mathematical study, without any attempt at instrumentation at this time, it is requested that the time of performance be extended through 30 April 1953.

Yours very truly,

STEVENS INSTITUTE OF TECHNOLOGY Experimental Towing Tank

George R. Morris Financial Officer

GRM: jsd

341-009

Date of Document 2926 1952 ditional copies of all Research and Development Contracts

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EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, Assistant Director HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

13 June 1952

Department of the Navy Office of Naval Research Washington 25, D. C.

SUBJECT:

Contract Nonr-263(02)

Amendment No. 3

REFERENCE:

ONR: 265, FKD

Nonr-263(02)

Gentlemen:

We are resubmitting herewith two executed copies of the subject amendment with our corporate seal affixed. It is requested that we be provided with two conformed copies for our files.

STEVENS INSTITUTE OF TECHNOLOGY Experimental Towing Tank

GEORGE R. MORRIS Financial Officer

GRM:jsd Enc. DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER:

Nonr-263(02)

MENDMENT NUMBER: 3

ONR:262:THT:rch Nonr-263(02)

(Mathematical Sciences

Division)

The Trustees of the tevens Institute of Technology loboken, New Jersey

entlemen:

to provide the Contractor sufficient time within which to prepare and submit the final report and fulfill all other necessary requirements of the research under Task Order Nonr-263(C2), it has been determined to extend the period of performance of said Task Order.

In consideration of the foregoing, said Task Order, as amended, is hereby urther amended by deleting Section F in its entirety and substituting in ieu thereof the following:

"SECTION F - The performance of work under this Task Order shall commence on 1 March 1951, and shall be completed on 3C April 1952."

'his amendment makes no change in the estimated cost of Task Order Nonr-263(C2).

Bonda Sa

NTRACT NO.

Nonr- 263(02)

ENDMENT NO. 3

he foregoing is acceptable to you, please indicate your acceptance thereof by executing the enclosed two copies of this letter, and return them to the Office of Naval Research, whereupon this letter and your accepte thereof will constitute this an amendment to the above numbered Task Order.

Sincerely yours,

Contracting Officer
Office of Naval Research

	Departme	nt of the Navy	
THE TRUSTEES OF THE STEVENS CEPTED INSTITUTE OF TECHNOLOGY	WITNESSI	ES:	
(Confractor)	(1)		
	NOTE:	in the case of a corporation	
		witnesses are not required but certificate below must be completed.	
		20	

CERTIFICATE

		, certify that I am
	Secretary of the	e corporation named as Contractor in the foregoing amend-
that:	*	, who signed said amendment on behalf of the Con-
or was then		of said corporation: that said amendment
duly signed for and in behal	f of said corporation	by authority of its governing body and is within the scope
corporate powers.		
		(Signature of person certifying)
		LANDRIGHT OF CAPSON CONTINUEDS

(CORPORATE SEAL)

Man San

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Subject:

Research Contract Nonr-263(02), Amendment 3.

Gentlemen:

The two copies of the subject amendment are returned herewith for completion of the execution pages by affixing your Corporate Seal to each copy.

Sincerely yours,

Encl:
Two copies of the subject amendment

J. A. Marillank Head, Contract Distribution Brauch By direction of Chief of Navel Research EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, Assistant Director HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

3 June 1952

Department of the Navy Office of Naval Research Washington 25, D. C.

ATTENTION: Code 265

SUBJECT:

Amendment No. 3 to

Contract Nonr-263(02)

Gentlemen:

We return herewith two executed copies of the subject amendment which extends the period of performance through 30 April 1952.

It is requested that we be provided with two conformed copies for our records.

Yours very truly,

STEVENS INSTITUTE OF TECHNOLOGY Experimental Towing Tank

George R. Morris

GRM: jsd Inc.

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om: _	Director		**************************************	20 May 1952
TO: 1	Chief of Naval Washington 25,	Research (Code 262)		NAVAL SPEEDLETTER— Permits dispatch or informal language.
L				May be sent (1) with enclosures, (2) in a window envelope (size 8%" x 3%"), if contents are not classified as confidential or higher, (3) to both naval and nonnaval activites. Is packaged 500 sheets of white or of
				one color: yellow, pink, or green.

e Contract Nonr-263, Task Order 2 with Stevens Institute of Tech. present xpiration date of task order is 29 February 1952. Contractor requested o cost extension on 11 December 1951. Code 432 letter, Serial 10453 dated 1 April indicated extension approved by Math. Branch and forwarded to ontract Division for negotiation. Contractor has submitted invoices for arch and April costs. In view of ONR, Wash. directive to process maximum mount of outstanding claims prior to 30 June 1952, request you advise status f amendment.

> S. FERRARIS By direction

NR Wash (Code 432)

tESS:

Office of Naval Research, New York 346 Broadway

L New York 13, N. Y.

- SENDER'S MAILING ADDRESS

Address reply as shown at left; or reply hereon and return in window envelope (size 8%" x 3%"), if not classified as confidential or higher.

CLASSIFICATION Unclassified

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DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

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ONR: 262%:THT: Nonr-26302) (Mathematical Sciences Division)

Stevens Institute of Technology

Hoboken, New Jersey

Gentlemen:

To provide the Contractor sufficient time within which to prepare and submit the final report and fulfill all other necessary requirements of the research under Task Order Nonr-263(02), it has been determined to extend the period of performance of said Task Order.

In consideration of the foregoing, said Task Order, as amended, is hereby further amended by deleting Section F in its entirety and substituting in lieu thereof the following:

"SECTION F - The performance of said Task Order shall commence on 1 March 1951, and shall be completed on 30 April 1952."

This amendment makes no change in the estimated cost of Task Order Nonr-26302.

2. L. Thomton

2 May 1952 Date

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OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO:

L4-3/2N/CHE:sw Nonr-26302 Serial No. 211

7 January 1952

Delamos

From: Commanding Officer

To: Chief of Naval Research

Attn: Code 432 Via: Code 402

Subj: Contract Nonr-26302, Stevens Institute of Technology, NR 341-009; Branch Office individual contract fiscal status report

Encl: (1) Orig and 1 cy of renewal proposal

(2) ONR NY 1tr ser 210 of 7 Jan 1952 to Code 268

- 1. Basic background information in connection with subject contract is as follows:
 - a. Present expiration date is 29 February 1952.
 - (1) Comments: Expiring appropriation number is 17X1317.10, Research Navy. Total amount of funds allocated to 29 February 1952 is \$39,500.
- 2. Current fiscal information:
 - a. Current period beginning on 1 March 1951 and ending on 29 February 1952.
 - b. Expenditures for current period:

Type	Budget	Expended/Obligated	As of 9/30/51	
Wages/Salaries Overhead	10,080 12.620	500. 625.	9,580 11,995	
Materials/Supplies Travel Askania Reg. Co.	1,000 15,080	775 . 304 .	225 15 ,6 86	
Totals	39,500	2,204	37,296	

- c. Estimated to complete through current period \$3,000.
- d. Apparent surplus expected at present ending date \$34,296.
- e. Estimated net surplus expected at present ending date \$34,296.

11 JAN 1952

20020 432)

L4-3/2N/CHE:sw Nonr-26302 Serial No. 211

7 January 1952

f. Explanation in connection with any net surplus expected:

This contract has been delayed for an extended period of time due to the fact that the subcontract with Askania Regulator Company of Chicago has not been progressing due to disagreement in subcontract requirements. This problem was referred to this office on 3 December and steps have been taken to facilitate the subcontract. Enclosure (2) was a letter to Code 268 in this regard.

In view of this delay, Stevens has requested an extension of subject contract, by enclosure (1), through 31 December 1952. The Office of Naval Research, New York, concurs with the necessity for this extension.

E. O. HEBERER

Acting

none: 263,

DISTRIBUTION LIST

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Naval District

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Insurance Branch, EXOS, Mr. Shetley, Room T3-1715
(On all Est. Cost Contracts)
Cost Inspection Service, 1331 "" St., N. W.
washington, D. C. Attn: Mr. R. C. Kiser
 (On all Est. Cost Contracts)
Mr. Pace, Room 1820 (All unclassified documents)
Property and Facilities, Code 264
Cognizant Section of ONR
Natural Sciences
                       Earth Sciences
                                          Biological Sciences
Physical Sciences
                       Naval Sciences
                                          Mathematical Sciences
Material Sciences
                        Human Resources
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Special Requests
All documents having BuMed Appropriations
Finances Division, Naval Medical Center, Building 2
Room 214, Bethesda, Maryland
                                                    1 copy
Bulled, Director, Research Division
                                                    1 copy
All documents having BuShins Appropriations
BuShips, Code 322, Attn: Mrs. Driscoll
                                                    1 copy
All documents relating to the contracts listed below
Office of Fiscal Director
Room 4B683, Pentagon Building
Attn: Mr. Nichols
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DEPARTMENT OF THE NAVI OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER: AMENDMENT NUMBER: Nonr-263(02)

2

ONR: 263: BAT: bs Nenr-263(O2) (Mathematical Sciences Division)

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

To establish the overhead rate applicable under Task Order Nonr-263(02) for the period from 1 October 1951 to 30 September 1952, in accordance with the previsions of Section 24(a)(7) of the contract, said Task Order, as amended, is hereby further amended by adding the following under the tabulation in Section 3 thereof:

#133%

1 October 1951

30 September 1952,"

This amendment makes no change in the estimated cost of Task Order Nonr-263(02).

Rend Colo

NAVEXOS 2188-17-2 (Rev. 3-51)			
CONTRACT NO.	onr-263(02)		
AMENDMENT NO. 2			
	return them to the Office	of Naval Re	tance thereof by executing the enclosed two search, whereupon this letter and your accept- pered Task Order.
		Sincerely y	ours,
			g Officer laval Research it of the Navy
THE TRUST	EES OF THE STEVENS OF TECHNOLOGY	WITNESSE	S:
	Confractor)	(1)	
By		(2) NOTE:	in the case of a corporation
APPARAMENT COM		14016:	witnesses are not required but certificate below must be completed.
	CER	TIFICATE	
the ment; that tractor was then was duly signed for and in of its corporate powers.		, who	certify that I am named as Contractor in the foregoing amends signed said amendment on behalf of the Conof said corporation; that said amendment of its governing body and is within the scope
			(Signature of person certifying)
			(CORPORATE SEAL)

ACCOUNTING CHANGE

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DEPARTMENT OF THE MAVY OFFICE OF MAVAL RESEARCH WASHI'GTO' 25, D. C.

Contract Hamber: None-263 (02) dask Order: Amendment Tumbers &

O'B: 263: BAT: None-263 (02) (mathematical Sciences Division)

The Trustees of the Stevens

Gentlemen:

To establish the overhead rate applicable under Task Order Noul-263(02) for the period from 10 tolur 1951 to 30 Setumber 1952 in accordance with the provisions of Section 24(a)(1) of the contract, said Task Order, as amended, is hereby further amended by adding the following under the tabulation in Section D thereof:

H 133 %

10 ctober 1951 30 September 1952, 11

This amendment makes no change in the estimated cost of Task Order Noue-263(02).

1341

From: Uniof of Naval Research

To: Director, Office of Mavel Research, New York, Mew York

Subj: Contract Nour-265(02), Stevens Institute of Technology; subcontract with Askania Regulator Company

Ref: (a) ONR New York 1tr Ser 210 to CNR (Code 268) dtd 7 Jan 1952

- 1. Authorization is hereby given for your approval of a subcontract with Askania Regulator Company containing a patent rights clause permitting the subcontractor to retain title to foreign patents. Such an approval may be given to Stevens Institute under Section 27(f) of subject contract. Such modified patent rights clause if approved should contain a provision, however, for granting to the Government an irrevocable non-exclusive and royalty-free license to practice for governmental purposes any inventions covered by foreign patents.
- 2. With respect to the type of contract to be employed, this office has been advised that Askania Regulator Company will now accept a cost-plus-fixed-fee rather than a time and materials subcontract.

RESDELL R. MANGIS By direction

OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO

L4-B/2A/CHE:ew Nonr-26302 Serial No. 1046

6 February 1952

267

Mr. G. Morris Stevens Institute of Technology Hobbken, New Jersey

Dear Mr. Morris:

Further reference is made to your letter of 30/November and the proposed subcontract under Contract Nonr-26302 with Askania Regulator Company, Chicago, Illinois.

This will confirm that the following are acceptable to the Office of Maval Research.

(1) The patent conditions specified in your 30 November 1951 letter. (2) A subcontract with Askania in accordance with Part 2, Section

15, Stipply and Research Contracts with Commercial Organizations, of the Armed Services Procurement Regulations.

This letter supplements our letter of 31 July 1951 which approved this subcontract as being technically necessary to the work under Contract Monr-26302. The total amount approved remains \$15,000, inclusive of estimated cost and fee. The costs involved in this subcontract will be subject to audit and acceptance by the Cost Inspection Service.

Very truly yours,

C. H. KOWARDS Head, Contract Department

Copy to: SCI BA *Code 268, ONR Wash

OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO:

Patents
IA-3/1P/Nonr-26300
(RBJ:bf)

Serial No. 212 7 January 1952

MAMORANDUM

From: To:

(R-3

Head Patents Division Head Contract Division

Subj:

Comtract Nonr-263(00) (Stevens Institute Technology), Patent Provisions of Proposed Subcontract With Askania Regulator Co.

Ref:

- (a) ltr from Experimental Towing Tank Stevens Institute of Technology, Hoboken, N.J. dtd 50 Nev. 1951 to ONR, NY
- (b) Executive Order 9865, June 14, 1947
- 1. Reference (a) states that the proposed subcontractor, Askania Regulator Co. of Chicago "objected to the patent clause" because Askania desires to retain foreign title but is willing to give a royalty-free non-exclusive license for this country to the United States Government.
- 2. Subject contract, Section 28, reads

"FOREIGN PATENT RIGHTS

The Contractor agrees,.... to grant to the Government, upon request, title to the foreign rights in which subject invention (as defined in the clause of this contract entitled 'PATENT RIGHTS')".

The definition given in Section 27 of subject contract is as follows:

"PATENT RIGHTS

(a).....

(i) The term "Subject Invention" means any invention, improvement or discovery (whether or not patentable) conceived or first actually reduced to practice either (A) in the performance of the experimental, developmental or research work called for under this contract".

Patents L4-3/1P/Nonr-26300(RBJ:bf)

Serial No. 212 7 January 1952

3. Subject contract, Section 27(f) states

"The Contractor agrees....to negotiate for the inclusion in any subcontract hereunder....of this patent rights clause or one approved by the Contracting Officer. In the event of refusal by a subcontractor....Contractor shall obtain the written authorization of the Contracting Officer....to proceed with the subcontract and.... negotiation....of a mutually acceptable patent rights clause".

It may be that ONR, Washington, will consider that such written authorization in this case covering foreign rights is sufficient and if so, ONR, N.Y. should request such written authorization be given to the Contractor permitting Contractor to enter into a subcontract under which Askania will retain foreign title.

- 4. However, because subject contract, Section 27(f) refers to "this" patent rights clause, it may be that ONR, Washington will consider that such a written authorization cannot be made with respect to the foreign patent rights clause (Section 28), which requires contractor, upon request, to grant title to foreign rights, and if ONR, Washington is of such opinion, ONR, N.Y. should request that subject contract be amended to include a clause permitting written authorization similar to that in Section 27, or, that subject contract be amended to except from Section 28 foreign rights in connection with regulators of the kind to be covered by the proposed subcontract.
- 5. The request of paragraphs 2 and 3 are based upon the fact that reference (b) in paragraph 1, states

"All Government departments and agencies shall, whenever practicable, acquire the right to file foreign patent applications on inventions resulting from research conducted or financed by the Government." (underlining ours).

and further, in view of the fact that it is well-known that the Government does not have funds to file foreign patent applications and that heretofore in only a few, very exceptional cases has there been any foreign patent application filed by the U.S. Government.



OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER AND REFER TO:

L4-3/2A/CHE:sw Nonr-26302 Serial No. 210

7 January 1952

From: Commanding Officer

To: Chief of Naval Research

Attn: Code 268

Subj: Contract Nonr-263, Task Order 2, Stevens Institute of Technology; subcontract with Askania Regulator Company

Ref: (a) Telcon btwn Mr. Mangis & Mr. Edwards on 5 January 1951

Encl: (1) Stevens Inst (G.R. Morris) ltr of 30 Nov 1951

(2) ONR NY inter-office (Dr. Jones) memo re patent clause on Askania subcontract, ser 212 of 7 January 1952

- 1. Enclosure (1) includes reference to patent clauses for a proposed subcontract with Askania Regulator Company. The comments of the Patent Department, Office of Naval Research, New York, as regards this matter, are contained in enclosure (2). In accordance with enclosure (1), instructions are requested.
- 2. The difficulties with the rates on the subcontract were discussed with Code 268 through reference (a). As a result thereof, Stevens is considering the use of a time and material type subcontract which would contain provision for use of appropriate provisional overhead rates. Neither Stevens nor, to our knowledge, Askania has indicated a willingness to use a cost-plus-fixed-fee type subcontract.

CVESUA DOS

C. H. EDWARDS By direction

Copy to: SCI EA (w/cy encl (1))

EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

30 November 1951

Commanding Officer Office of Naval Research 346 Broadway New York, New York

SUBJECT:

Contract Nonr-263(02)

REFERENCE:

- a) Stevens letter of 20 July 1951
- b) Stevens letter of 23 July 1951
- c) ONR letter of 31 July 1951 14-3/2A/JJS:sb Nonr-263(02) Serial 5189

Dear Sir:

Under reference a) we requested approval for the placement of a purchase order with Askania Regulator Company of Chicago in behalf of the subject contract and supplemented that letter with reference b). Reference c) gave qualified approval for the placement of the purchase order.

The purchase order was then placed with Askania and copies were given to the Bureau of Supplies and Accounts.

Askania objected to the patent clause on the basis that they desire to retain foreign title together with the right to license in and to foreign countries but are willing to

- a) grant to the Government free of charge non-exclusive rights together with the right to sublicense third parties for Government and defense work,
- b) notify the Government of any negotiations with foreign countries or foreign concerns with regard to licensing under any patents resulting from performance of the contract,
- c) desist from disclosing any information classified or not if this appears in the interest of the Government.

Askania has maintained this position and refuses to enter into a subcontract unless this is acceptable to the Government.

MECEINED

17 - 111 121

Commanding Officer, Office of Naval Research

The Bureau of Supplies and Accounts objected to the purchase order as drawn on the contention that the <u>labor rates named were too high.</u> This was on the basis of information supplied to them by the Navy Cost Inspector, Chicago. The Bureau of Supplies and Accounts also objected to the method of handling the costs of travel, subsistence, supplies and materials. The Bureau implied verbally that they would be willing to accept other labor rates considerably lower than those requested by Askania and that travel, subsistence, materials and supplies should be charged for without mark-up as the suggested rates, even though lower, were in the Bureau's opinion sufficient to cover profit on these items.

The above mentioned suggested rates were submitted to Askania, together with other alternate methods of arriving at a contractual understanding. All of these have now been rejected by Askania who maintains that the rates they have proposed to us are reasonable.

Your contractor is in an untenable position and the work under the contract is delayed. We applied for approved in accordance with the terms of the contract and were given a qualified approval equivalent to a mere recognition of the technical advisability of placing a purchase order, which in turn is objected to by the Bureau of Supplies and Accounts based principally on matters of fact of which we as contractors have no knowledge. The question of foreign patents of course is a matter of governmental policy.

Your consideration of the problems involved is requested.

Yours very truly,

STEVENS INSTITUTE OF TECHNOLOGY Experimental Towing Tank

George R. Morris Financial Officer

GRM: jsd

RECEIVED 18 18 11 '51

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Subject:

Research Contracts - Nonr-263(00), Amend. 1, Nonr-263(01), Amend. 1 and Nonr-263(02),

Amend. 1.

Gentlemen:

The subject documents forwarded to you for signature on 26 November 1951, 26 November 1951 and 9 November 1951 have not been received in this Office. It is requested that they be signed and returned to this Office, Attn: Code 265.

Sincerely yours,

CONT	TASK ORDER	MENT	
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DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

CONTRACT NUMBER: Nonr-263(02)
AMENDMENT NUMBER: 1

ONR:268:WRM: Nonr-263(O2) (Mathematical Sciences Division)

The Trustees of the Stevens Institute of Technology Hoboken, New Jersey

Gentlemen:

To establish the "use" charge applicable under Task Order Nonr-263(02) for the period 1 February 1951 to 30 January 1952, in accordance with the provisions of Section 24(a)(9) of Contract Nonr-263(00), said Task Order Nonr-263(02) is hereby amended by adding the following new section:

"SECTION G - In accordance with the provisions of subsection 24(a)(9) of the contract, a use charge for the period or periods specified below is hereby established for 'Maddida' special purpose computer equipment for work under this Task Order:

Amount Per Hour Machine Usage

Period for Which Applied From To

\$7.50

l February 1951

30 January 1952."

This amendment makes no change in the estimated cost of Task Order N6onr-24705.

APPROVED:	(1) indus	RMan	(~)
T) 4 MID	. \. (>.	7	5
DATE:	10/26/51	V	

ISRIBUTION LIST - FINAL Date of Docum Additional copies of all Research and Development Contracts 2 con is Chief, Reconciliation and Clearance Subdivision, Navy Audit Branch, General Accounting Office, 1901 East 13th St., Cleveland, Thio l original GAO, Washington, D. C. (On all cost-plus fixed fee or cost without l original D&F (To GAO) if required) 1 conv 3 conies InsMat (or BAR) Central Navy Regional Accounts Office, with DRO leony (Mentioned in "Billing Instructions") Naval District Naval Working Fund Letter (If required) 1 cony Bureau of Supplies and Accounts, Code AP-2, Room 1534 1 cony Arlington Annex Bureau of Supplies and Accounts, Code AP-111, 1 copy Arlington Annex Bureau of Supplies and Accounts, Budget and Finance 1 copy Code OD-4, Room 1312, Arlington Annex (To be listed on DRO) Commanding Officer, ONR Branch office conies Commanding Officer, CNR Branch Office 5 copies (San Francisco or Los Angeles) Mr. Lynch, Code 269, Room T3-2810 2 copies onies Contractor Department of Labor, Washington 25, D. C. Quadrunlicate Form PC-1 on all contracts of \$10,000.00 and over, excluding contracts for Training Films or strictly services Bureau of Supplies and Accounts, Property Accounting Division (For Contracts having Government Furnished Material) Special Devices Center, Sands Point, Port Washington, L. I. 6 copies Special Devices Liaison - Room T3-1815 - Miss Carr 1 copy Naval Research Laboratory, Anacostia, Washington 20, 6 copies

D. C. Attn: Mr. Sanders

Fiscal Sections - To be listed on DRO ONR copy Bushins copy Buord (Designate cognizant NRAO on this copy) cony BuAer cony BuMed cony BuPers cony Buyds and Docks Wright-Patterson Air Force Base Insurance Branch, EXOS, Mr. Shetley, Room T3-1715 (On all Est. Cost Contracts) Cost Inspection Service, Room O211 - Main Navy, BuSandA 5 copies Attn. Mr. R. C. Kiser (on all Est. Cost Contracts) Mr. Pace, Room 1820 (All unclassified contracts, etc.) Mr. Harding, Room 2810 Project Status Cognizant Section of ONR Natural Sciences. Biological Sciences Human Resources Division Earth Sciences Naval Sciences Physical Sciences SPECIAL REQUESTS All documents having BuMed Appropriations Finances Division, Naval Medical Center, Building 2 1 cony Room 214, Bethesda, Maryland, Attn: Miss Judd 1 copy BuMed, Director, Research Division All documents having BuShips Appropriations 1 cony BuShips, Code 363, Attn: Miss Frechette All documents relating to the contracts listed below Office of Fiscal Director Room 4B683, Pentagon Building 1 cony Attn: Mr. Nichols MIT - N5ori-60 and Task Order I thereunder NOD 6964 and Task Order I thereunder N5ori-78 and all Task Orders thereunder Neori-126 Noori-241 Nº 071-110 Noonr-271 Nacri-131 Naonr-231 Noori-102 N6onr-244

N7onr-321 (Stanford Research)

DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH CONTRACT NUMBER: Nonr-263(02)

RESEARCH AND DEVELOPMENT TASK ORDER

COMPRACTOR:

The Trustees of the Stevens Institute of Technology

AUTHORITY!

WR 341-009/1-19-51 (Mathematical Sciences Division)

APPROPRIATION:

17X1317.10 Research Mavy (Expenditure Account 46110) (Object Classification 079) Program Mumber 32000

ESTIBATED COST:

\$39,500,00

This Task Order is established under, and constitutes a part of, Contract Nonr-263(00) which sets forth the basic contract provisions applicable hereto. In case of any conflict between the provisions of said Contract Monr-263(00) and the provisions hereof, the latter shall control.

SECTION A - The Contractor shall use his best efforts to furnish the necessary personnel and facilities for and, in accordance with any instructions issued by the Scientific Officer or his authorized representative, shall conduct research and investigation of new approaches to the theory and design of automatic controls of improved performances. Such research and investigation shall include, but not necessarily be limited to:

- (1) development of the theory of saturated controls obeying non-linear control equations which optimize performance;
 - rative predictive schemes in control problems; and (2)
- (3) determination of the feasibility of the above approaches to the improvement of automatic control performance.

SECTION B - The Scientific Officer under this Task Order is the Head, Mathematics Branch, Mathematical Sciences Division, Office of Naval Research,

SECTION C - The estimated cost of the performance of this Task Order is thirty nine thousand five hundred dollars (\$39,500,00).

SHOTION D - The percentage to be applied with respect to this Task Order in accordance with the provisions of Section 24(a)(7) of the contract shall be a fixed percentage, in the amount or amounts, and for the period or periods, specified below:

B Row My Jew Period for which Applied From To

142% Period for which Applied To

142% Period for which Applied To

CONTRACT NUMBER: Nonr-263(02)

SECTION E - The Contractor shall submit final reports upon completion of work hereunder, making full disclosure of all research conducted, and shall submit such other reports as are required by the Scientific Officer.

SECTION F - The performance of work under this Task Order shall commence on 1 March 1951, and shall be completed on 29 February 1952. CONTRACT NUMBER: MONT-263(02)

This negotiated Task Order is entered into pursuant to the provisions of Section 2(c)1 of the Armed Services Procurement Act of 1947 (Public Law 413, 80th Gongress), and any required determination and findings with respect thereto has been made.

IN WITNESS WHEREOF the parties hereto have executed this Task Order as of the day and year first above written.

	United States of America
	Contracting Officer Office of Naval Research Department of the Navy
WITNESSES:	
	Contractor
(2)	By
NOTE: In the case of a corporation witnesses are not required but certificate below must be completed.	TITLE
	(Business address of Contractor)
CERT	FICATE
Orden that Contractor was then	certify that I am corporation named as Contractor in the foregoing Task , who signed said Task Order on behalf of the of said corporation; that said Task ration by authority of its governing body and is within the
	(Signature of person certifying)

(CORPORATE SEAL)

EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

TELEPHONE HOBOKEN 3-8080

KENNETH S. M. DAVIDSON, DIRECTOR ALLAN B. MURRAY, ASSISTANT DIRECTOR HUGH W. MAC DONALD, EXECUTIVE DIRECTOR GEORGE R. MORRIS, FINANCIAL OFFICER

26 March 1951

Department of the Navy Office of Naval Research Washington 25, D. C.

Attention: Code 265

Subject: Contract Nonr-26302

Reference A: ONR Letter of 3/23/51 - Reference ONR: 265:NED Nonr-263(02)

Reference B: ETT Letter of 2/27/51

Gentlemen:

The subject contract which was enclosed with Reference A is held pending reply to Reference B, which raised questions relating to the basic contract.

Yours very truly,

EXPERIMENTAL TOWING TANK Stevens Institute of Technology

George R. Morris

Financial Officer

GRM: MR

AMENDMENT

No	nr-	26	31	02)

Code	Date and Initials	Comments
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(1) Clearan Memorandum Dtd. 2 February 1951

Other nacate) (2)

9/21/49

J. RICHMAN

(Signature)

(Information below aes only to the document whichs accompa	nies.) .
Contractor The Trustees of the Stevens Institute of Amount \$30	9,500.00
Contract Number Nonr-263(02) T. O. Amend.	***
For: (check one) /x/ Research	
Development of training devices	
Scientific Equipment	
Other	(state)
To be dated not later than 1 March 1951 (if app	licable, state
	n below)
YES .	<u>NO</u>
X Statement from Contractor re use of a company or person (other than a full time employee) to solicit or secure this contract	The second secon
Have \boxed{X} Have not \boxed{X}	
Negotiation Clearance (Navexos-2759)	
Negotiation D & F	<u>X</u>
Contracting Officer's Statement	<u>x</u>
Business Clearance (Navexos-2760)	<u>x</u>
X Method of Contracting D & F (cost) #3971	<u> </u>
Advance Payment Clearance	X
Advance Payment D & F	X
Contract with Small Business	X
Government Furnished Material	X
Renegotiation applicable to these funds	X
Naval Working Fund	X
Classified	X
Subject to Vinson-Trammel Act	X
Subject to Walsh-Healey Act	X
Authority under A.S.P.R. Section 3.201	
If authority other than A.S.P.R., state	
8/25/50 J. RICHMAN (Negotiator)	van



DEPARTMENT OF THE NAVY OFFICE OF NAVAL RESEARCH WASHINGTON 25, D. C.

ONR: 265:NED Nonr-263(02)

The Trustees of the Stevens Institute of Technology 711 Hudson Street Hoboken, New Jersey

23 MAR 1951

Subject:

Research Contract Nonr-263(02).

Gentlemen:

Three copies of the subject task order have been executed by the Government and are forwarded herewith for execution by you. It is requested that two of the executed copies be returned to this Office, Attention: Code 265. The third executed copy of the task order may be retained by you for information and files.

Your prompt advice will be appreciated if for any reason you cannot return the two execution copies within ten days.

Sincerely yours,

Head, Control - Distribution Branch Sy direction of Chief of Naval Research

Encls:

 Three (3) copies of the subject task order

2. Instructions Respecting Execution

CC: CO, ONR - New York Br. Code 265
3-16-51

SUPPLEMENTAL CLEARANCE MEMORANDUM

NR 341-009/1-19-51 Contract Nonr-263(02) Unclassified 22 March 1951

The Trustees of the Stevens Institute of Technology 711 Hudson Street Hobeken, New Jersey

A breakdown of the item of \$15,000.00, in respect to Askania Regulator Company, is as follows:

Shep Laber	\$ 200.00	
Shop Overhead, 130%	260.00	
Engineering Salaries	3,753.00	
Engineering Overhead, 140%	5,254,00	
Miseellaneous Materials	1,200.00	
Travel	2,035.00 (Trips between Chicago, Hoboken, etc.)	
Total	\$12,702.00	
General and Administrative Charge, 10%	1,270.00	
	\$13,972.00	
Prefit, 10%	1,397.00 \$15,369.00	
ROUNDED OFF TO \$15,000.00		

CLEARANCE MEMORANDUM

NR 341-009/1-19-51 Contract Nonr-263(02) <u>Unclassified</u> 2 February 1951

The Trustees of the Stevens Institute of Technology 711 Hudson Street Hoboken, New Jersey

1. Negotiations

Under date of 4 December 1950, the Trustees of the Stevens Institute of Technology (hereinafter referred to as the Contractor) submitted a written proposal relative to a Study of Automatically Programmed Control Systems. Attached to, and forming a part of this proposal, it submitted an estimate of costs relative to the proposed extension. Thereafter, on 29 January 1951, it submitted a revised estimate of costs in respect to the proposed research. From the technical point of view, Contractor's proposal has received the approval of the Head, Mathematics Branch, Mathematical Sciences Division, Office of Naval Research.

2. Description of the Work

It is proposed that Contractor will conduct investigations of new approaches to the theory and design of automatic controls of improved performance. The objective will be to develop the theories of saturated controls obeying non-linear control equations which optimize performance, to investigate iterative predictive schemes in control problems, and to determine the feasibility of these two approaches to the improvement of automatic control performance.

3. Information Regarding the Contractor

Personnel at the Experimental Towing Tank, Stevens Institute of Technology, have in the past carried on successfully studies concerning the influence of stability and maneuverability on control system design. These studies have suggested the research to be conducted under this task for which the Stevens Institute group is therefore particularly qualified.

4. Analysis of the Costs

The following is an analysis of the estimated cost:

T	Hours	Rater	Amount
Engineers	1075	3.48	\$3,741.00
Chief Technical Assistants	1535	1.91	2,931.85
Miscellaneous Laboratory Assistants	1790	1.24	2,219.60
Salary			8,892.45
Overhead 142%	1		12,627.28

CLEARANCE MEMORANDUM (Cont'd) Contract Nonr-263(02)

	<u> Hours</u>	Rate*	Amount
Mathematical Services			\$1,200.00
Travel (principally l Askania at Ch		th	800.00
Misc. materials and e trans. of com	••	•	1,000.00
Askania Regulator Co.	(Sulcon	track for	15,000.00
aler on frosability alidis as practical application. See by	الماريك	LATOT	\$39,519.73
Proposal rounded to \$	39,500.0	0	

^{*} Average Rates for purpose of this tabulation only

The theoretical research on both the non-linear control equation approach and the iterative predictor approach will be undertaken at Stevens Institute, and will use the computing facilities and such other facilities owned by Stevens Institute as may be necessary.

The technical staff of the Experimental Towing Tank will be supplemented for the purposes of this contract by the employment of one or more members of the Differential Equations Project at Princeton under Dr. S. Lefschets.

The experimental studies of the iterative predictor approach and all design and feasibility studies will be conducted by the staff of Askania Regulator Co. at Chicago.

The Experimental Towing Tank will provide liaison, coordination, overall administration and direction, and will prepare all reports.

5. Overhead

As above indicated, overhead is computed at 142% of wages and salaries, This rate has been agreed upon with Contractor to and including 30 September 1951, and accordingly, the rate will be fixed to that date. Thereafter, the same rate will apply provisionally until further fixed or otherwise modified.

6. Period of Performance

It is proposed that work under this Task Order shall commence on 1 March 1951, and shall be completed on 29 February 1952.

7. Other Pertinent Information

This Task Order is being written under the new A Type basic contract. It is not

CLEARANCE MEMORANDUM (Cont'd)
Contract Nonr-263(02)

being written as a fixed price contract because of the indefiniteness, the nature and the various amounts estimated for mathematical services, miscellaneous materials, etc. and subcontracting.

In its letter of 29 January 1951, Contractor has set forth the required statement that it has not employed or retained a company or person other than a full time employee to solicit or secure this contract.

8. Authority

Authority for the negotiation of this contract is to be found in Section 2(c)(1) of the Armed Services Procurement Act of 1947.

9. Reasonableness

In the opinion of the undersigned, the terms and conditions here and above set forth appear to be fair, reasonable, and in the interest of the Government.

T. RICHMAN

(Task Order-A Type for new Busies)

DEPARTMENT OF THE NAVY
OFFICE OF NAVAL RESEARCH
CONTRACT NUMBER: N onr-263(02)

RESEARCH AND DEVELOPMENT TASK ORDER

CONTRACTOR: The Trustees of the Stevens Institute of Technology

AUTHORITY: NR 341-009/1-19-51 (Mathematical Sciences & irinon) (

APPROPRIATION: 17X1317.10 Research Navy (Expenditure Account 46110) (Object Classification 079) Program Number 32000

ESTIMATED COST: \$39,500.00

This Task Order is established under, and constitutes a part of, Contract N onr- 263(QD) which sets forth the basic contract provisions applicable hereto. In case of any conflict between the provisions of said Contract N onr- 263(QD) and the provisions hereof, the latter shall control.

SECTION A - The Contractor shall furnish the necessary personnel and facilitie for and, in accordance with any instructions issued by the Scientific Officer or his authorized representative, shall conduct research and investigation of new approaches to the theory and design of automatic controls of improved performances. Such research and investigation shall include, but not necessarily be limited to:

(1) development of the theory of saturated controls obeying non-linear control equations which optimize performance;

(2) iterative predictive schemes in control problems; and

(3) determination of the feasibility of the above approaches to the improvement of automatic control performance.

SECTION B - The Scientific Officer under this Task Order is the Head, Mathematics Branch, Mathematical Sciences Division, Office of Naval Research.

SECTION C - The estimated cost of the performance of this Task Order is thirty nine thousand five hundred dollars (\$39,500.00).

SECTION p - The percentage to be applied with respect to this Task Order in accordance with the provisions of Section $\mu(a)(7)$ of the contract shall be a fixed percentage, in the amount or amounts, and for the period or periods, specified below:

 $> \frac{8}{142} \frac{9}{6}$

Period for which Applied
From To

1 March 1951
B 29 February 1952 5
30 September 1951

(4

CONTRACT NUMBER: N onr- 263(02)

SECTION E - The Contractor shall submit final reports upon completion of work hereunder, making full disclosure of all research conducted, and shall submit such other reports as are required by the Scientific Officer.

SECTION F - The performance of work under this Task Order shall commence on 1 March 1951 , and shall be completed on 29 February 1952 . •

APPROVED BY:

Date: 5 Feb. 1951

Scientific Officer

/jl

Richman, Negotiator

MENT	JUST	IF	ICAT	ION	

OFFICE OF NAVAL RESEARCH

Richman

70 (9-48) RESEA	ARCH GROUP OPERATION	/	TOTAL AD OR LOT
(Submit Original and four copies	SECURITY CLASSIFICATION	TYPE PROJECT	IREMENT AD 09401
ect NR 341 000	UNCLASSIFIED	X NEW	RE- NEWAL
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A COURTON DESCRIPTION TO THE CHEST	FROM March 195	1 0 3	December 1951
inche past carried on success ability and maneuverability on es have suggested the research of the Stevens Institute group in the second of th	fuly studies co control system to be conducte	ncerning design. d under	the influence this task for y qualified.
B AGENCIES CORRESPONDENCE NOT ATTACHED, ETC.	THE UTIO OES FU	nds Com	r concers to
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Mathematics	ASST. CM	RST	-
OR (Phriston) Mathematical Sciences	DEPUTY AND ASSISTANT C	HIEF OF NAVAL RES	EARCH

(1) Scientific Justification (2) Brief of Project (3) Possible Naval Application

The investigations to be carried out under this task are concerned automatic control systems for the execution of major maneuvers. listinguishes them clearly from systems designed for the purpose of s-keeping where it is mexely a matter of correcting for the comively minor random disturbances caused by a inhomogeneous or noisely enter. The performance of the type of control system to be instated must be evaluated from such viewpoints as the execution of a ed maneuver in least time or with least effort, etc. This is in ast to course-keeping type of controls whose aim is generally the ization of mean square errors. The proposed viewpoint appears to new one in the field of control system development and certainly its careful investigation. The Stevens Institute of Technology be supported in this study by engineers from the Askania Regulampany and by the Mathematics Department at Princeton University ying respectively practical advice and the assurance of theoretical ness.

wo particular types of automatically programmed control systems are studied as to their feasibility. The first one is centered on an que computing device which from known instantaneous conditions and sumed future program predicts rapidly the remainder of the maneuver. prediction differs from the desired outcome a systematic correction control program is made and the computation repeated with the nitial conditions. In this fashion those parts of the control am lying in the immediate future will be defined with greater and precision, and will be executed as real time catches up with the second type of system envisages the preliminary computation

The second type of system envisages the preliminary combutation timum control sequences for a sufficiently large family of reprel maneuvers. The relation which is thus established between the
ed maneuver and required control command sequence is then to be
ed from the viewpoint of realizing it in a compact analogue device
function might be described as that of looking in a table of poscommand sequences for the one corresponding to the maneuver to be
ted. Since in particular the requirement of maneuvers in minimum o
will generally require that the controls are used to saturation
arrent study will work essentially with black-white controls.

The possible naval applications are found in any situation where maneuvers have to be executed with such accuracy in timing as to out the use of human operators or under conditions where no human tors are on hand. Example of this can be found in the maneuver sh speed aircraft from tally-ho to the point of firing a guided le at a target; In the maneuver of a guided missile from the it of release to the instant when homing devices take over the ice control; in the rapid execution of evasive maneuvers by high aircraft and in depth changes on the part of high speed submarines.

operational Requirement: AD 09401.

HEARTIGHT BUT TO

EXPERIMENTAL TOWING TANK
STEVENS INSTITUTE OF TECHNOLOGY
711 HUDSON STREET HOBOKEN, NEW JERSEY

KENNETH S. M. DAVIDSON, DIRECTOR

ALLAN B. MURRAY, Assistant Director

HUGH W. MAC DONALD, Executive Director

29 January 1951

Department of the Navy Office of Naval Research Washington 25, D. C.

Attention: Dr. F. J. Weyl

Subject: Revision of Proposal for Research

Reference: Proposal of 4 December 1950 for Research on Investigation of new Approaches to the Theory and Design of Automatic Controls of Improved Performance.

Gentlemen:

This letter represents a reduction to \$39500.00 in the amount of the proposal made under the above reference. Under this revision the program proposed in the reference will be pursued to the extent of the funds available. A revised estimated cost breakdown is attached.

The proposed contractor represents that he has not employed or retained a company or person (other than a full-time employee) to solicit or secure this contract, and agrees to furnish information relating thereto as requested by the Contracting Officer.

Very truly yours,

The Trustees of the Stevens Institute of Technology

Nichol H. Memory, Treasurer

George R. Morris, For the Director

Meren

Reference Copy to O.N.R., New York

GRM:MR Enclosure

1 FEB 1951

EXPERIMENTAL TOWING TANK Stevens Institute of Technology

Office of Naval Research

Our Proposal of 4 Dec. 1950

REVISED ESTIMATED COST BREAKDOWN 29 Jan. 1951

		manus processors	AND THE PROPERTY OF THE PROPER	Company of the Compan
	proper interest of completely are more than the effect of the property of the property commenced which the property of the pro	Hours	Rate*	Amount
Engineers		1075	3.48	3741.00
Chief Technical Assistants		1535	1.91	2931.85
Instrument Makers, Machinis	sts, Mechanics, e	tc.	water wash away widely	
Miscellaneous Laboratory As		1790	7.24	2219.60
Sal	alary verhead 142%			12627.28
Ma	athematical Servi	.ces		1200.00
T	ravel (principall Askania at	y liaison with Chicago)	n	800.00
M	isc. materials ar	nd expense inc computing equ	luding ipm a nt	1000.00
A	skania Regulator	Co.		15000.00
		Total		39519.73

Proposal Rounded to \$39500.00

* Average Rates for purpose of this tabulation only

1 FEB 1951

ONR:432:FJW:mc

19 January 1951

MEMORANDUM

From:

Code 432

To:

Code 262

Via:

Code 430

Subj:

Project NR 341 009, Stevens Institute of

Technology, fiscal matters concerning

Ref:

(a) NR 341 009 PJ dtd 19 Jan 1951 for \$39,500.00.

1. The proposal for subject project, accompanying reference (a), calls for \$78,000.00. Stevens Institute of Technology has been advised that only \$39,500.00 is currently available and is now preparing a revised budget, not exceeding the above amount. The revised proposal will be forwarded as soon as it has reached this Branch.

F. JOACHIM WEYL

Head, Mathematics Branch

U.S. NAVY
OFFICE OF NAVAL RECEASED
New York
346 Broadway
New York 13, N. Y.

185735

Address Reply To Commanding Officer And Refer To:

L4-2(Stevens)/IR:fgr Serial No. 9171

26 December 1950

From: Commanding Officer
To: Chief of Naval Tesearch

Proposal for Study of Automatic Controls of Improved Performances from Stevens Institute of Technology, Experimental Towing Tank; forwarding of

Encl: (1) Five cys of subject proposal w/original and 4 cys ltr signed by Nichol H. Memory, Treasurer, and George R. Morris, for the Director, dtd 4 Dec 1950 (2) Two cys Scient Div memo to CO ONR NY Ser 9170 dtd

22 Dec 1950

1. Subject proposal is forwarded as enclosure (1). Pertinent comments from representatives of the Office of Naval Research, New York, are contained in enclosure (2).

2. Approval of this proposal is recommended.

5 IAN 1051

L4-2(Stevens)/IR:fgr Serial No. 9170

MEROPANDUM

22 December 1950

aircraft, guided missiles and other military and mayal equipment. Mr. Williamson stated that he is prepared to direct this project into the solution of a specific mayal problem, if so requested, and in fact would desire such an assignment.

- 3. Personnel The principal investigator is Mr. Robert R. Williamson who has been as staff member of the Experimental Towing Tank for the past six years. Prior to that he did theoretical work on the Manhattan District Project at the University of Chicago. He has worked on Contract Noonr-247, Task Order 5 and other government projects. Prof. Lefschetz is well known for his development of non-linear mathematics and is the director of an ONR task order. The personnel of the Askania Regulator Co. are very experienced in the design of automatic control systems.
- A. Budget The proposal calls for a one year project at a cost of \$\\\ \frac{178}{378},000\$. Actually, Mr. Williamson expects that the work on this project will take about two years. The items of direct cost at the Experimental Towing Tank appear reasonable. The item for transportation of computing equipment refers to the MADDIDA computer which will be taken to the Askania Co. in Chicago for work on this problem. Almost half of the total cost of the project is the fee to the Askania Regulator Co. No breakdown of this \$35,000 charge is given. Mr. Williamson has been asked to obtain such a breakdown of Askania's charge. He indicated that this figure was not necessarily fixed, but could be adjusted if necessary.
- 5. Recommendation This project involves mathematical research which can be applied directly to important naval problems. Approval is recommended.

INVING HOVE

OFFICE OF NAVAL RESEARCH, NEW YORK

346 BROADWAY NEW YORK 13, NEW YORK

ADDRESS REPLY TO COMMANDING OFFICER

L4-2(Stevens)/IRifgr Serial No. 9170

MEMORANDUM

22 December 1950

From: Electronics Engineer, Scientific Division

To: Commanding Officer

Subj: Proposal for Study of Automatic Controls of Improved Performances from Stevens Institute of Technology, Experimental Towing Tank

- 1. Scope of Proposal The purpose of this project is to develop the theory of saturated controls obeying non-linear control equations to optimize control performance. The specific field of application in which the proposed contractor's interest lies, is in the steering and mansuvering control of ships and submarines. However, the principles developed will be of value in all types of control systems. The principal investigator is Robert R. Williamson. His interest in the problem of controls was aroused as the result of his study of the relationship of stability to meneuverability of submarines. This work was done on Contract Noonr-247, Task Order 5, and was reported in Experimental Towing Tank Report No. 389. The minimum time of correction for the error in the control system will be determined by (1) an iterative method and (2) by the theory of games technique. Mr. Williamson appears to feel that the function of his group is to block out the control theory for utilization in the field of hydrodynamics, and to let others carry on more generalized applications. In order to obtain theoretical and practical assistance, and at the same time to interest other groups in this subject, he has obtained the cooperation of the Mathematics Department at Princeton and of the Askania Regulator Co. Prof. Lefschetz of Princeton, well known for work on non-linear mathematics, has agreed to act as a consultant, and a graduate student in his department will be employed for part-time work on this project, in particular, to check the methematical rigor of the work. The Askania Regulator Co. has much practical experience in designing and building automatic control systems. That company will check the practical feasibility of the solutions. They possess a Philbrick calculator, which will be used in this work. In addition, the Experimental Towing Tank is obtaining a Northrup MADDIDA calculator, a very flexible type of differential analyzer. This is transportable, and will be taken to Chicago for work in cooperation with the Askania engineers.
 - 2. Navel Applicability The results of this project would be of obvious navel applicability in the design of control systems for ships, submarines,

EXPERIMENTAL TOWING TANK

STEVENS INSTITUTE OF TECHNOLOGY

711 HUDSON STREET

HOBOKEN, NEW JERSEY

KENNETH S. M. DAVIDSON, DIRECTOR
ALLAN B. MURRAY, ASSISTANT DIRECTOR

HUGH W. MAC DONALD, Executive Director

4 December 1950

Chief of Naval Research Office of Naval Research Department of the Navy Washington 25, D. C.

ATTENTION: Dr. J. Weyl

VIA: Office of Naval Research, New York

SUBJECT: Proposal for Research on Investigation of new Approaches to the Theory and Design of Automatic Controls of Improved Performance.

Gentlemen:

As per recent discussions between Dr. Weyl and our Mr. Williamson, we enclose herewith our proposal on the above subject in the amount of \$78,000.00. For your convenience we also enclose in further detail, a discussion supplemented by an appendix and charts.

The proposed contractor represents that he has not employed or retained a company or person (other than a full-time employee) to solicit or secure this contract, and agrees to furnish information relating thereto as requested by the Contracting Officer.

Very truly yours,

The Trustees of the Stevens Institute of Technology

Nichol H. Memory, Treasurer

0

George R. Morris, for the Director

GRM:wv

enc: Proposal

Discussion

Appendix to Discussion

Est. Cost Breakdown

5 14 N 1951

Advance copy to Dr. Weyl - Mathematical Sciences Section

10341

PROPOSAL FOR RESEARCH

4 Dec. 1950

TO

Office of Naval Research

BY

Experimental Towing Tank of "The Trustees of the Stevens Institute of Technology", a non-profit educational corporation organized and existing under the laws of the State of New Jersey.

AT

Hoboken, New Jersey

TITLE

"Investigation of New Approaches to the Theory and Design of Automatic Controls of Improved Performances."

PUR POSE

To develop the theory of saturated controls obeying nonlinear control equations which optimize performance, to investigate iterative predictive schemes in control problems, and to determine the feasibility of these two approaches to the improvement of automatic control performance.

PROCEDURE

The proposed program of research will entail to the extent of the funds available:

- 1. Theoretical Studies of the problem of saturated controls, from the point of view of Game Theory and the Calculus of Variations, to find optimum non-linear control of a variety of idealized systems.
- 2. Calculations of performance and stability of the above systems with the optimum non-linear control equations, in the presence of statistical disturbances.
- Design and Feasibility studies of the control systems developed above.
- 4. Theoretical and experimental studies of iterative predictors in control systems, and experimental finding of the best rules for iterative operation in at least one practical application of this approach.
- 5. Simulation of a complete iteration control system and the controlled system, and an evaluation of its performance in the presence of statistical disturbances.
- 6. Design and feasibility studies of the iterative control system developed in the above research.

PERSONNEL AND FACILITIES TO BE USED:

1. The theoretical research on both the non-linear control equation approach and the iterative predictor approach will be undertaken at Stevens Institute, and will use the computing facilities and such other facilities owned by Stevens Institute as may be necessary.

The technical staff of the Experimental Towing Tank will be supplemented for the purposes of this contract by the employment of one or more members of the Differential Equations Project at Princeton under Dr. S. Lefschets.

- 2. The experimental studies of the iterative predictor approach and all design and feasibility studies will be conducted by the staff of Askania Regulator Co. at Chicago.
- 3. The Experimental Towing Tank will provide liaison, coordination, overall administration and direction, and will prepare all reports.

SUGGESTED CONTRACT SPECIFICATIONS

A Task Order under N6onr-247 Initiation date 1 January 1951

MAXIMUM PRICE

as per cost breakdown attached

\$78000.00

COMPLETION DATE

31 December 1951.

RESEARCH TO BE SUPERVISED BY

Kenneth S. M. Davidson, Director Experimental Towing Tank Professor of Mechanical Engineering

EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY

Office of Naval Research

Our Proposal of 4 Dec. 1950

ESTIMATED COST BREAKDOWN

	Hours	Rate*	Amount
Engineers	2000	3.15	6300.00
Chief Technical Assistants	2700	1.82	4914.00
Instrument Makers, Machinists, Mechanics, etc.	व्यक्त अपने व्यक्त	2.00	and the selection
Miscellaneous Laboratory Assistants	3280	1.22	4001.60
,			15215.60
	Ove	rhead 142%	21606.15
Mathematical Ser	vices		3000.00
Travel (princips Askania	ally liaison wi at Chicago)	th	1800.00
Misc. materials trans. o	and expense in of computing eq		1400.00
Askania Regulato	or Co.		35000.00
•		Total	78021.75

* Average Rates for purpose of this tabulation only

Proposal Rounded to \$78000.00

EXPERIMENTAL TOWING TANK STEVENS INSTITUTE OF TECHNOLOGY HOBOKEN, NEW JERSEY

DISCUSSION OF A PROPOSAL
FOR RESEARCH ON TWO
NEW APPROACHES TO CONTROL DESIGN

Our Proposal of 4 December 1950

A control-controlled system, represented by the block diagram of figure (1) is subject to disturbances which may and usually do contain a statistical component. The overall response of the system will depend on initial conditions, the disturbance time history, and the control equation which specifies what action the control takes in all possible situations.

The control problem may be defined in the following way: 1) Find a physically and economically realizable control equation which results in satisfactory overall performance of the system in all operating situations.

2) Find the most economical physical realization of the control equation within the requirements of size, weight, reliability, power requirements, etc.

It is convenient to treat the first or theoretical problem in the terminology of the Game theory of von Neumann and Morgenstern. (1) This part of the control problem can then be discussed as a two player, zero sum game. If we require a limit to the number of plays in a game, the division of 'rewards' at the end of a game is some function of the state of the system. Game follows game instantly, each game determining the initial condition of the next game. A play of the control would consist of a change in the control variable in some small interval of time, and a play of the disturbances would consist of a change in the disturbance in the same small interval of time. Thus, we represent both continuous and discontinuous controls by the same approach, a continuous control being one which is capable of making a large number of plays involving small changes in the variable in a very short period of time. Saturation of rate appears raturally in this scheme: a control variable rate is saturated when over some interval all plays have the same value. See figure (2).

The game may be conceived as starting from some initial conditions, proceeding by alternate plays of the control and the disturbance, toward a conclusion which is defined by the terminal condition.

Then, if we start from some initial condition and explore all possible combinations of plays by both 'control' and 'disturbance', we obtain a set of trajectories which terminate at different states of the system. A value is given to each terminal state. The 'strategy' of the control is best when on each play, it selects the subset which contains the terminal states having the highest aggregate favorable value or score.

However, instead of developing the set of all possible games from its subsets of all possible initial conditions and all possible plays, we can invert the procedure and develop the set of all possible games having the desired terminal state by starting at that point and computing the trajectories for all possible plays backwards in time. This procedure automatically generates all possible initial conditions (terminal conditions in the inversion)

⁽¹⁾ von Neumann and Morgenstern, "Theory of Games and Economic Behavior".
Princeton University Press, 1947.

and trajectories in which we are interested and eliminates all plays we would not be interested in.

Every path so generated is, in the forward game, a path from the initial condition to the desired terminal condition. There will be a large number of paths from each initial condition to the desired terminal condition. If we eliminate dummy plays and allow the game to have varying numbers of plays, there is contained in this set a subset having some minimum number of plays from initial to desired terminal condition, hence a minimum time to correct because all plays take the same time. If, on each play, the control selects the 'minimax' play, that is, the play whose branches going to the desired terminal condition, has the lowest value of the maximum number of plays required to reach the desired terminal condition, then no matter what the subsequent plays of the disturbance, the time to satisfy the terminal condition is always no greater than that time, and may subsequently be found to be less.

One may question whether the inverted generation is the same as the straightforward generation of all games, i.e., are these two sets the same set? Clearly, they are not: the inverted procedure generates only the subset terminating at the desired terminal condition. But this is the only set we are interested in, so long as it contains all possible initial conditions, i.e., we would be forced to consider games terminating at points other than the desired terminal point only if we find that no game terminating at the desired terminal point exists for the given initial conditions and control strength. This follows from our 'minimax' strategy: if a play can be selected whose maximum number of subsequent plays to the terminal condition is a minimum, we choose that play. Hence if in a given initial condition there is a play that defines a subset having a finite maximum number of subsequent plays, we always select that in preference to a play that selects a subset having an infinite minimum number of subsequent plays, which is the case when a game never can be played from initial to terminal condition(1). We conclude that generating the set of all relevent games by working backwards from the desired terminal condition is merely a means of reducing the labor of calculation by invoking the minimax strategy in programming the calculations.

In principle at least, the above discussion provides an approach to the first problem: find a control equation which results in satisfactory overall performance of the system.

The suggested approach is:

- 1) define the duration of a play and the magnitude of a control play in terms of physically and economically realizable 'frequency response' and maximum rate of change of the control variable.
- 2) define the statistical disturbance in similar fashion, adding if needed any correlations of the probability of a given 'disturbance' play to the occurence of previous plays.

⁽¹⁾ Since a game terminates on reaching the terminal condition, this game never terminates and, therefore, has an infinite minimum number of subsequent plays.

- 3) working backward in time from the desired terminal condition at t=0, find all possible games and classify these games by the state of the system at the end of each backward play.
- 4) select the 'minimax' strategy for all states.
- find a function of the variables of state F(X, X¹, etc.) such that if the minimax strategy requires a 'block' play by the control, F(X, X¹, etc.) >0, and if the minimax strategy requires a 'white' play by the control, F(X, X¹, etc.) ≤ 0. This function is the desired control equation.

If we proceed to a limiting case, the problem can be made so simple as to be amenable to treatment in closed form. (One example of this is worked out in the appendix A of this discussion). Consider the limiting case of no statistical content of the disturbance. Such disturbances arise as commands to change course or attitude or some similar controlled variable. There is no statistical content in the sense that such commands are given to achieve a given condition and are not changed en route to that condition. Then during the correction to the new condition, there is no statistical component.

Assume that we are dealing with a second order linear system, obeying the equation,

$$m\ddot{x} + 2b\dot{x} + kx = \delta, \ \delta = \pm \delta_0 \tag{1}$$

After suitable redefinitions (1), the equation can be replaced by its equivalent non-dimensional system

$$X'' + 2cX' + X = \pm 1 = sgnF(X, X')$$
 (2)

where F(X, X!) is the desired control equation.

Suppose that by some design process, an $F(X, X^*)$ is found which is defined by the condition that all corrections are made in minimum possible time. Then in a control system, we sense X and X* and in some computing network, we generate $F(X, X^*)$. When $F(X, X^*) > 0$ the control variable is made to go positive, while for $F(X, X^*) \leq 0$ the control variable is made to go negative. A system of this kind differs from conventional saturated, bang-bang, or black-white controls only insofar as $F(X, X^*)$ differs. The distinctive performance of the proposed control scheme is therefore the fact of correcting in minimum possible time, while the distinctive element of the scheme which accounts for the improved performance is the computing network which computes the $F(X, X^*)$ required for the minimum time of correction instead of some other $F(X, X^*)$.

There are, in equation (1), four parameters m, b, k, δ_o . However, the nondimensionalizing process reduces this number to one; the damping ratio, c. The $F(X, X^i)$ required for minimum time of correction is a function of c. If the parameters change from the design parameters m, b, k, δ_o in a way that changes c, a new $F(X, X^i)$ is required. Since $F(X, X^i)$ is a complex function of c, it may be difficult to produce an $F(X, X^i)$ generating network which

(1)
$$X = \frac{\kappa k}{\delta_0}$$
, $V = \omega t$, $\frac{k}{m} = \omega_0^2$, $\frac{f}{m\omega_0} = c$, $\frac{dX}{d\tau} = X'$

can be adjusted easily to different values of c. It is therefore desirable to consider other means of finding the switching curve $F(X, X^i)$. Before doing so, however, we note that $F(X, X^i)$ is not an exhaustive solution of the problem of minimum correction time controls; in fact, it applies only to the control of systems desirable by equations (1). A new equation of motion will result in a new switch curve $F(X, X^i, \ldots)$. As a matter of fact, if the system is described by a third order error equation,

a switching surface F(X, X', X'') is needed. A fourth order equation would require a switching hyper-surface F(X, X', X'', X'''), and so on. A system with one degree of freedom is usually describable by a second order equation, while a system of two degrees of freedom requires two equations, usually of second order, in two dependent variables. If these two equations are cross-coupled, a fourth order eliminant equation in one dependent variable results, while if there is no cross-coupling, two separate controls of the second order can be used. Furthermore, the equations may not be linear, thus further expanding the range of systems to be developed.

In view of the last paragraph, it is desirable to classify controls according to the differential equations of motion of the system controlled. There will be a separate and distinct computing 'network' for each type of equation of motion.

Historically speaking, the above approach was the second main line of attack to be undertaken. It was presented first in this discussion because a more logical presentation, more closely related to conventional control theory, results from the use of this approach as a bridge from conventional controls to the unconventional propositions we are attempting to expose. However, in all previous discussions, the above approach was classified as II, and we shall so refer to it here, to avoid confusion.

It was pointed out that when parameters of the control or controlled system are changed from the design parameters in such fashion that the non-dimensional equations (those similar to 2) have values of the non-dimensional parameters, like c, which differ from the design value, a new switching function is needed, and it may be very difficult to synthesize a switching function which can be easily revised. There is need for a different approach which permits easy adjustment of parameters. The following will be devoted to one of these approaches.

At any given instant, the control 'needs to know' the answer to one question: 'to switch or not to switch'. If we think of the switching function as a means of building the answer into the control, for all possible combinations of conditions, then it is clear that the reason for the difficulty of non-design parameters is related to the difficulty of representing all of the answers simultaneously. This suggests that parameters could more easily be adjusted in a system that never attempts to answer more than the immediate question, 'switch now?'

Switching, and in fact all control, is undertaken to achieve some condition in the future. Therefore, the question is answered only by knowledge of whether a switch 'now' will lead to the desired condition in the most desirable ways, that is, we need a predictor.

One way of predicting is to try the proposed switch and see what happens. Then, when the future arrives, the answer is known, but the result of the switching has irrevocably occurred as well. An alternative to this is to try the proposed switch on some model in which events happen more rapidly than full scale. If the switch leads to an unsatisfactory answer, there is still time to do something about it. This is what Mr. Ziebolz of Askania Regulator calls the Mississippi River Basin Model approach because the River Model operated by the Army corps of Engineers, at Vicksburg, Mississippi, is the most spectacular example of this approach to predictors in control problems.

In general, there may be more than one switching required to achieve the desired condition. It follows that the desirability of switching 'now' is knowable only when the timing of subsequent switches is known, because the answer obtained in the predictive process will depend on the timing of subsequent switches as well as the 'now' switch.

The problem therefore is to study the response of a model of the controlled system under a variety of switching programs. To do this at all requires it be done systematically. The number and timing of switchings should therefore be adjusted in some systematic fashion after each trial, in terms of the best information available. Such best information consists of three kinds of data:

- 1) the new initial conditions
- 2) the switching times of the previous trial, and
- 3) the extent to which the previous trial failed to satisfy the desired conditions.

When the model scale is such that the ratio of event duration, full scale/model, is very large, the initial conditions can hardly change much from trial to trial except in the case of a change of goal.

We therefore consider only the case of quasi-steady initial conditions. The problem is now to find ways of revising the switching times in terms of the difference between the predicted and desired state as evaluated on previous trials.

If an iterative process of this kind is assumed, we can expect that after some period (which may be long in terms of model event periods, but must be short in terms of full scale event periods), the system will become quasisteady, the only changes being the small, slow changes in the initial conditions as they are revised at each iteration, and the small resulting changes in switching times. Since the initial condition on each successive iteration was some small distance in the future of the previous iteration, the switching

6. times should progressively occur earlier in each successive iteration. At some instant a switching time will therefore occur at the instant of starting an iteration. But at this instant both the controlled system and its model are in the same state, hence if that is the correct instant for switching the model, it is also the correct instant for switching the controlled system. This argument provides the means of letting the predictor serve as a control, for it provides the information a control needs switch now? - in terms of the answers obtained by the predictor. Clearly, this scheme achieves the desired result of permitting adjustments to allow for changes in controlled system parameters. The adjustment required is the adjustment of the model parameters to correspond to the controlled system. Since we require a very short event period model, an electronic analog representation of the system seems indicated. There are several variations of these schemes, such as the analog computer, the shaped pot analog (which gives its answers instanteously), and others. We thus arrive at the two main lines of approach shown schematically in figures (3) and (4). The essential features of the scheme just discussed (Scheme I) are: 1) the use of a predicting analog of the controlled system, 2) the rules of iteration whereby the predictor is made to and converge on solutions having specified limit performance characteristics; while the essential feature of the previously discussed Scheme II is the selection of a particular non-linear function F(X, X1, etc.) which represents all limit solutions characterized by minimum time of correction. The non-linear scheme may be much more compact and simple than the analog-predictor scheme, while the analog-predictor scheme may be easier to apply to higher order systems or systems with variable coefficients. Both should be developed. The program of research, leading to the development of such limit controls as are described above, will therefore be concerned with two approaches: I The analog-predictor or 'Mississippi River Basin Model' approach, and II The non-linear function approach. Under both approaches, it will be desirable to consider the idealized case of no statistical disturbances as a limiting case which, practically speaking, corresponds to a 'maneuvering' control rather than a 'course-keeping' control, It is obviously desirable to investigate performance in the presence of statistical disturbances. Some of the problems, such as the definition of switching curve functions can be handled theoretically, in certain idealized cases, more expeditiously than in any other way, while when non-linear systems are being studied, the most practical approach to design is by computing solutions. Theoretical studies will also serve as a guide to computing programs dealing with more difficult cases.

7.

The 'Mississippi River Basin Model' approach is not very amenable to theoretical treatment or to computation by ordinary techniques, especially when statistical disturbances are to be considered. There should therefore be a program of research in which such controls are simulated, using together a fast computer to simulate the highspeed analog in the control and a slower computer to simulate the controlled system.

The researches should deal with one practical control problem from the beginning, so that its results can be evaluated in an actual application at the earliest possible date.

The proposed research is therefore expected to embrace:

- I The two approaches
 - A) Scheme I, 'Mississippi Model' systems.
 - B) Scheme II, non-linear control functions, and their variants.
- II Two classes of problems
 - A) A practical control problem.
 - B) A group of idealized systems for theoretical study.
- III Two kinds of disturbances
 - A) Pure maneuvering, command disturbances.
 - B) Statistical, noisy disturbances.
- IV Two principal goals
 - A) Definite answers regarding feasibility of the various above approaches and variations as controls for the selected practical application.
 - B) 'blocking out' the
 - 1) best rules of iteration for various systems under Scheme I, and
 - 2) the non-linear, switching functions F(X, X', etc.) for various 'mathematical systems'.

To provide the desired skills for the various phases of the above researches, the Experimental Towing Tank proposes to: 1) secure the services of one or more members of the Differential Equations Project under Dr. S. Lefschetz at Princeton to do much of the theoretical work, and 2) subcontract to Askania Regulator Co. certain phases of the work primarily concerned with feasibility studies and the practical application. The Experimental Towing Tank will provide liaison, coordination, overall administration and direction, and will undertake most of the computing phases of the research.

APPENDIX A
ANALYTIC DEVELOPMENT OF SWITCHING FUNCTIONS.

Consider again the equation of motion

Rearranging and integrating over a small time interval 7

$$(\Delta X^{1}) = X^{11}\tau = (\frac{1}{2} - 20X^{1} - X)\tau$$

$$(\Delta X) = X^{\dagger}\tau = (X^{\dagger} + \underline{\Delta X^{\dagger}}) \tau$$

Start at a point X, Y, Y = X' and traverse a path X, Y, X1, Y1

 $X_2, Y_2 \longrightarrow X_3, Y_3 \longrightarrow X_4, Y_4$ letting $\tau_{1,2,3,4}$ be undetermined, using

the + sign from X Y to X Y and from X2,Y2 to X3,Y3, and the - sign

from X1, Y1 to X2, Y2 and from X3, Y3 to X4, Y4.

Set $X_4 = X_0$, $Y_4 = Y_0$, so that the path is closed. Actually, such a path is physically impossible because a part of the path would have to be traversed in a negative time interval. But it is equivalent to two paths going from X_0 , Y_0 to X_2 , Y_2 , one by way of X_1 Y_1 and the other path going through X_3 , Y_3 .

The resulting equations are solved for the difference in time by the two routes, i.e., for $\Delta \tau = \tau_1 + \tau_2 - \tau_3 - \tau_4$. Higher order terms can be dropped since we shall let $\tau_1 + \tau_2 \rightarrow 0$. Then if $\Delta \tau < 0$, $\tau_3 + \tau_4 > \tau_1 + \tau_2$ and it takes longer to go by way of X_3 , Y_3 than by way of X_1 , Y_3 .

Looking at the paths as a closed loop, when $\Delta \tau < 0$, there is a net gain in time by traversing the loop in the $X_0 \to X_1 \to X_2 \to X_3 \to X_0$ direction.

Suppose now that we proceed to a limit of $\tau_1 + \tau_2 \rightarrow 0$. The path becomes infinitesimal and is 'located' at X, Y. We then map the regions in which $\Delta \tau < 0$ and those where $\Delta \tau > 0$. A dividing line $\Delta \tau = 0$ will be constructable in the X, Y plane.

Some part of the plane near the origin might look like figure 5. If we want to go from a position X_1 , Y_1 to 0,0 in minimum possible time, various paths are physically possible, but throughout the $\Delta\tau < 0$ region, time is saved by holding the + sign first in proceeding from any one point to any possible neighboring point. Since this is true for all paths in the region between the point X_1 , Y_1 and 0,0, we should hold the + sign first, and continue to hold it until:

- a) The line $\Delta \tau = 0$ is encountered, or
- b) It is no longer possible to get to 0,0 by holding the + sign.

In either contingency, the switch to negative sign should be made.

Figure 6 shows a group of adjacent small paths. If $\Delta\tau\langle 0 \text{ for all, which}$ is to say that time is saved by taking the + sign first, then since the common paths cancel just as in the derivation of Stokes theorem (which will be the model for a rigorous treatment), the time saved in going from A to B by holding + until C is reached and then going to the - control sign is the sum of the savings around each loop in the same sense.

This approach, only schematically outlined, provides a general method for the determination of switching curves and for proofs of statements previously made intuitively regarding the desirability of saturation. Its relation to the 'plays' of a game theory approach are obvious. Where before, we considered a variable number of plays of equal duration, in this scheme we have a fixed number of plays from X₁, Y₁ to 0,0 but of variable duration.

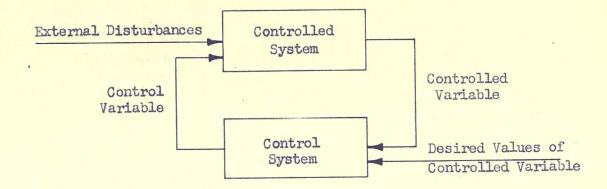


FIGURE 1

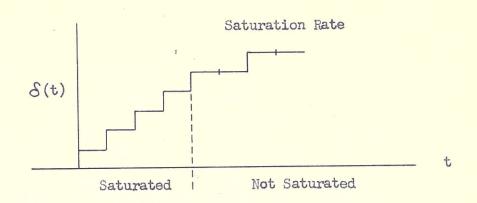


FIGURE 2

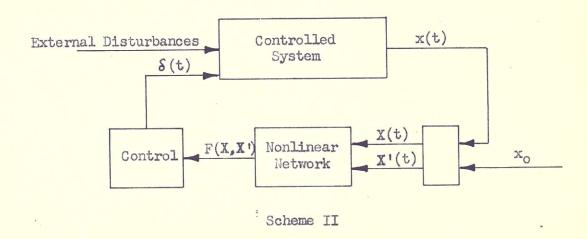
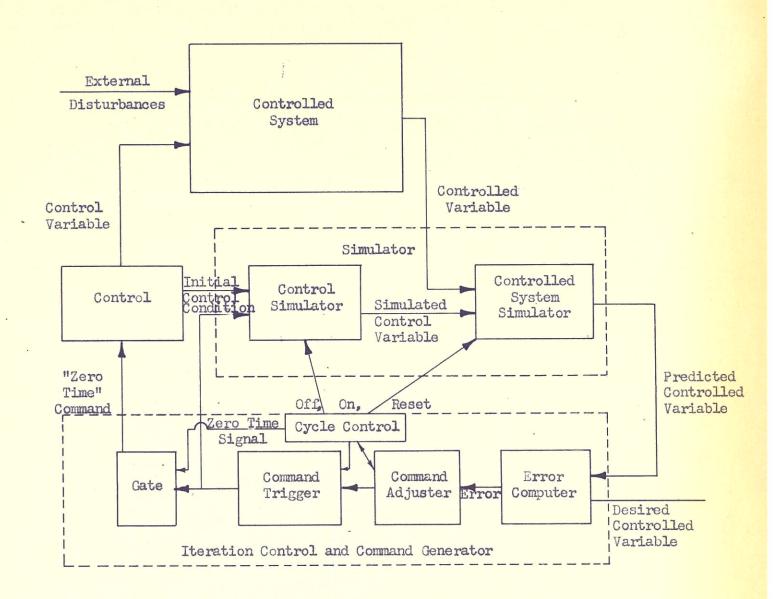
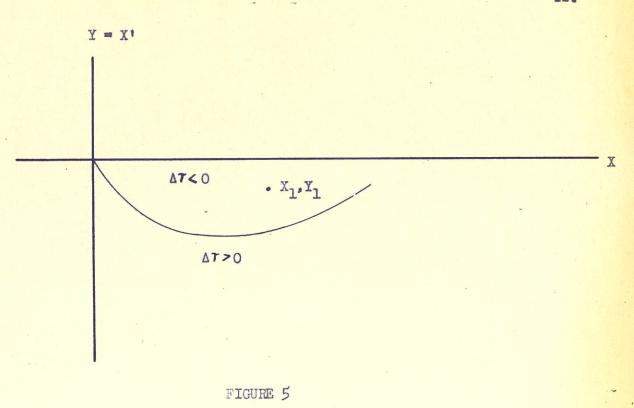


FIGURE 3



Scheme IA ("Fast Time" Analog Predictor)

FIGURE 4



 $Y = X^{\dagger}$ A

B

C

FIGURE 6